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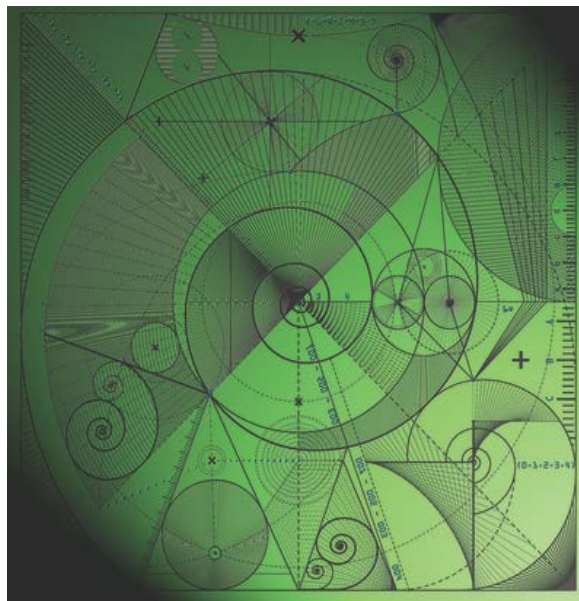
The full infinite dimensional moment problem on semi-algebraic sets of generalized functions

by

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THE FULL INFINITE DIMENSIONAL MOMENT PROBLEM ON SEMI-ALGEBRAIC SETS OF GENERALIZED FUNCTIONS

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ABSTRACT. We consider a generic basic semi-algebraic subset $\mathcal{S}$ of the space of generalized functions, that is a set given by (not necessarily countably many) polynomial constraints. We derive necessary and sufficient conditions for an infinite sequence of generalized functions to be *realizable* on $\mathcal{S}$, namely to be the moment sequence of a finite measure concentrated on $\mathcal{S}$. Our approach combines the classical results about the moment problem on nuclear spaces with the techniques recently developed to treat the moment problem on basic semi-algebraic sets of $\mathbb{R}^d$. In this way, we determine realizability conditions that can be more easily verified than the well-known Haviland type conditions. Our result completely characterizes the support of the realizing measure in terms of its moments. As concrete examples of semi-algebraic sets of generalized functions, we consider the set of all Radon measures and the set of all the measures having bounded Radon-Nikodym density w.r.t. the Lebesgue measure.

INTRODUCTION

It is often more convenient to consider characteristics of a random distribution instead of the random distribution itself and try to extract information about the distribution from these characteristics. In this paper, we are more concretely interested in distributions on functional objects like random fields, random points, random sets and random measures. The characteristics under study are polynomials of these objects like the density, the pair distance distribution, the covering function, the contact distribution function, etc.. This setting is considered in numerous areas of applications: heterogeneous materials and mesoscopic structures [44], stochastic geometry [29], liquid theory [14], spatial statistics [43], spatial ecology [30] and neural spike trains [7, 16], just to name a few.

The subject of this paper is the full power moment problem on a pre-given subset $\mathcal{S}$ of $\mathscr{D}'(\mathbb{R}^d)$, the space of all generalized functions on $\mathbb{R}^d$. This framework choice is mathematically convenient and general enough to encompass all the aforementioned applications. More precisely, our paper addresses the question of whether certain prescribed generalized functions are in fact the moment functions of some finite measure concentrated on $\mathcal{S}$. If such a measure does exist, it will be called *realizing*. The main novelty of this paper is to investigate how one can read off support properties of the realizing measure directly from positivity properties of its moment functions.

To be more concrete, homogeneous polynomials are defined as powers of linear functionals on $\mathscr{D}'(\mathbb{R}^d)$ and their linear continuous extensions. We denote by $\mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}'(\mathbb{R}^d))$ the set of all polynomials on $\mathscr{D}'(\mathbb{R}^d)$ with coefficients in $\mathcal{C}_c^\infty(\mathbb{R}^d)$, which is the set of all infinite differentiable functions with compact support in $\mathbb{R}^d$.

In this paper, we try to find a characterization via moments of measures concentrated on basic *semi-algebraic* subsets of $\mathscr{D}'(\mathbb{R}^d)$, i.e. sets that are given by polynomial constraints and so are of the following form

$$\mathcal{S} = \bigcap_{i \in Y} \left\{ \eta \in \mathscr{D}'(\mathbb{R}^d) \middle|\ P_i(\eta) \geq 0 \right\},$$

where Y is an arbitrary index set (not necessarily countable) and each P_i is a polynomial in $\mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}'(\mathbb{R}^d))$. Equality constraints can be handled using P_i and $-P_i$ simultaneously. As far as we are aware, the infinite dimensional moment problem has only been treated in general on affine subsets [4, 2] and cones [42] of nuclear spaces (these results are stated in Section 2 and Subsection 5.3). Special situations have also been handled; see e.g. [46, 3, 17].

Previous results.
Characterization results via moments are built up out of five completely different types of conditions

  I. positivity conditions on the moment sequence
 II. conditions on the asymptotic behaviour of the moments as a sequence of their degree
III. properties of the putative support of the realizing measure
 IV. regularity properties of the moments as generalized functions
  V. growth properties of the moments as generalized functions.

Conditions of type IV and V are only relevant for the infinite dimensional moment problem. The general aim in moment theory is to construct a solution which is as weak as possible w.r.t. some combination of the above different types of conditions, since it seems unfeasible to get one solution which is optimal in all types simultaneously.

Let us give a review of some previous results on which our approach is based and describe the different types of conditions involved in each of them.

Given a sequence m of putative moments, one can introduce on the set of all polynomials the so-called Riesz functional L_m, which associates to each polynomial its putative expectation. If a polynomial P is non-negative on the prescribed support $\mathcal{S}$, then a necessary condition for the realizability of m on $\mathcal{S}$ is that $L_m(P)$ is non-negative as well. The question whether this condition alone is also sufficient for the existence of a realizing measure concentrated on $\mathcal{S} \subseteq \mathbb{R}^d$ is answered by the Riesz-Haviland theorem [36, 15]; for infinite dimensional versions of this theorem see e.g [24, 25, 28] for point processes and [19, 20] for the truncated case. The disadvantage of this type of positivity condition is that it may be rather difficult and also computationally expensive to identify all non-negative polynomials on $\mathcal{S}$, especially if the latter is geometrically non-trivial.

A classical result shows that all non-negative polynomials on $\mathbb{R}$ can be written as the sum of squares of polynomials (see [32]). Hence, it is already sufficient for realizability on $\mathcal{S} = \mathbb{R}$ to require that L_m is non-negative on squares of polynomials, that is, m is *positive semidefinite*. For the moment problem on $\mathcal{S} = \mathbb{R}^d$ with $d \geq 2$, the positive semidefiniteness of m is no longer sufficient, as already pointed out by D. Hilbert in the description of his 17th problem. However, the positive semidefiniteness of m becomes sufficient if one additionally assumes a condition of type II, that is, a bound on a certain norm of the $n-$th putative moment $m^{(n)}$. For example, one could require that $|m^{(n)}|$ does not grow faster than $BC^n n!$ or than $BC^n (n \ \ln(n))^n$ for some constants $B, C > 0$. The weakest known growth condition of this kind is that the sequence m is quasi-analytic (see Appendix 6). We will call such a sequence *determining*, because this property guarantees the uniqueness of

the realizing measure. The determinacy condition in the infinite dimensional case additionally involves the types IV and V.

Beyond the results for $\mathcal{S} = \mathbb{R}^d$, for a long time the moment problem was only studied for specific proper subsets $\mathcal{S}$ of $\mathbb{R}^d$ rather than general classes of sets. However, enormous progress has recently been made for the moment problem on general basic semi-algebraic sets of $\mathbb{R}^d$. Let us mention just a few key works which were inspiring for the results presented here; for a more complete overview see [21, 23, 27]. The common feature of these works is that the support properties of the realizing measure are encoded in a positivity condition stronger than the positive semidefiniteness; namely, the condition that L_m is non-negative on the *quadratic-module* generated by the polynomials $(P_i)_{i \in Y}$ defining the basic semi-algebraic set $\mathcal{S}$, that is the set of all polynomials given by finite sums of the form $\sum_i Q_i P_i$ where Q_i is a sum of squares of polynomials. Semidefinite programming allows an efficient numeric treatment of such positivity conditions; see e.g. [21]. In 1982, C. Berg and P. H. Maserick showed in [6] that for a compact basic semi-algebraic $\mathcal{S} \subset \mathbb{R}$ the positivity condition involving the quadratic module is also sufficient. Concerning the higher dimensional case, a few years later K. Schmüdgen proved in his seminal work [38] that for a compact basic semi-algebraic $\mathcal{S} \subset \mathbb{R}^d$ a slightly stronger positivity condition, that is, L_m is non-negative on the *pre-ordering* generated by $(P_i)_{i \in Y}$, is sufficient. This result was soon refined by M. Putinar in [34] for Archimedean quadratic modules. Since then, the problem to extend their results to wider classes of $\mathcal{S}$ has been intensively studied, (see e.g. [33, 18, 9]). By additionally assuming a growth condition of the type discussed above, J. B. Lasserre has recently showed in [22] that the non-negativity of L_m on the quadratic module is sufficient for realizability on a general basic semi-algebraic set $\mathcal{S} \subseteq \mathbb{R}^d$.

Using the central idea of these works, we prove in this paper that also for a moment problem on an infinite-dimensional basic semi-algebraic set $\mathcal{S}$, the non-negativity of L_m on the associated quadratic module is sufficient for realizability under an appropriate growth condition on the sequence m.

Outline of the contents.
Let us outline the contents and the contributions of this paper.

In Section 1, we state the moment problem on a subset $\mathcal{S}$ of the dual Ω' of a general nuclear space Ω that is the projective limit of a family of separable Hilbert spaces. In an infinite dimensional context, the moment problem is also called *realizability problem*.

In Section 2, we recall the general result obtained by Y. M. Berezansky, Y. G. Kondratiev and S. N. Šifrin for the moment problem on $\mathcal{S} = \Omega'$. The assumptions in their result contain a growth condition on the sequence of putative moment functions that expresses the conflicting nature of the Condition type II, IV and V (see Remarks 2.4 and 4.6). We actually introduce their result under a slightly more general condition of such a kind, which is given in Definition 2.2. This modification is essential to get the main results of this paper.

In Section 3, some results about generalized functions, which are particularly relevant for this paper, are recalled. Beside the standard inductive topology on the space of test functions $\mathcal{C}_c^\infty(\mathbb{R}^d)$, we also represent this space as the uncountable intersection of weighted Sobolev spaces H_k and we equip it with the associated strictly weaker projective topology. The corresponding space of generalized functions $\mathscr{D}'_{proj}(\mathbb{R}^d)$ is strictly smaller than $\mathscr{D}'_{ind}(\mathbb{R}^d)$ as it contains only generalized functions of finite order. The projective description is needed to apply the results of Section 2.

In Section 4, we formulate the main result of the paper, i.e. Theorem 4.4. The only regularity assumption in the sense of Condition IV is that the putative moments are generalized functions. Note that this requirement is equivalent to assuming that for each $n \in \mathbb{N}$, the $n-$th moment function lies in the $n-$ fold tensor product of the dual of one H_k, where the choice of the space may be different for each moment function. Furthermore, our main result holds for the whole class of basic semi-algebraic sets of $\mathscr{D}'_{proj}(\mathbb{R}^d)$, including the ones defined by an uncountable family of polynomials. To consider these kinds of sets, the inductive topology on $\mathcal{C}_c^\infty(\mathbb{R}^d)$ plays an essential role, since $\mathcal{S}$ is closed w.r.t. the strong topology on $\mathscr{D}'_{ind}(\mathbb{R}^d)$ and the latter space is Radon.

In Section 5, we use our main theorem to derive realizability results in more concrete cases. Fundamentally, given a specific desired support $\mathcal{S}$, one has to find a representation of $\mathcal{S}$ as a basic semi-algebraic set of the space of generalized functions. Note that the result may depend on the chosen representation of $\mathcal{S}$. In Subsection 5.1, we describe how the new ideas employed in the proof of our main result allow us to extend the previous finite dimensional results to basic semi-algebraic sets defined by an uncountable family of polynomials and to the most general bound of type II. In Subsection 5.2, a more explicit description of the determinacy condition in terms of the scale of Sobolev spaces is introduced in the case when all moment functions are Radon measures. To avoid an extra unnecessary factorial factor in the determinacy bound obtained via Sobolev embedding (see Proposition 5.5 and Remark 5.6), it is indispensable to use our more general definition of determining sequence which does not involve the norm of the moment functions as elements of the tensor product of the duals of the weighted Sobolev spaces. In Subsection 5.3 we investigate conditions under which such moment functions are realized by a random measure, that is by a finite measure concentrated on Radon measures. A spectral theoretical result of S. N. Šifrin [42] allows us also to essentially weaken the determinacy condition. In Subsection 5.4 we show how to characterize, via moments, measures that are supported on the set of Radon measures with Radon-Nikodym density w.r.t. the Lebesgue measure fulfilling an *a priori* L^∞ bound. These examples also demonstrate that, in contrast to the finite dimensional case, a semi-algebraic set defined by uncountably many polynomials leads to very natural and treatable conditions on the moments in the infinite dimensional context. These positivity conditions can be seen as natural extensions of the classical conditions in the finite dimensional case, see Remarks 5.10 and 5.13. In a forthcoming paper, we will treat further applications that require new additional ideas.

In Appendix 6.1 and Appendix 6.2, we present some results from the theory of quasi-analyticity used in this paper and some considerations complementary to Subsection 3.1, respectively. Finally, in Appendix 6.3 we give an explicit construction of a total subset of test functions fulfilling the requirement of the aforementioned determinacy condition. This construction allows us to obtain improved determinacy conditions in the particular cases considered in Section 5.
We are convinced that the results contained in this paper are just the template for a multitude of forthcoming applications guided by their practical usefulness.

1. PRELIMINARIES

In the following we will consider all the spaces as being separable and real.

Let us consider a family $(H_k)_{k \in K}$ of Hilbert spaces (K is an index set containing 0) which is directed by topological embedding, i.e.

$$\forall \, k_1, k_2 \in K \, \exists k_3 \, : \, H_{k_3} \subseteq H_{k_1} , \, H_{k_3} \subseteq H_{k_2}.$$

We assume that each H_k is embedded topologically into H_0. Let Ω be the projective limit of the family $(H_k)_{k \in K}$ endowed with the associated projective limit topology and let us assume that Ω is nuclear, i.e. for each $k_1 \in K$ there exists $k_2 \in K$ such that the embedding $H_{k_2} \subseteq H_{k_1}$ is quasi-nuclear.

Let us denote by Ω' the topological dual space of Ω. We control the classical rigging by identifying H_0 and its dual H_0'. With this identification one can define the duality pairing between elements in H_k and in its dual $H_k' = H_{-k}$ using the inner product in H_0. For this reason, in the following we will denote by $\langle f, \eta \rangle$ the duality pairing between $\eta \in \Omega'$ and $f \in \Omega$ (see [1, 2] for more details).

Consider the $n-$th ($n \in \mathbb{N}_0$) tensor power $\Omega^{\otimes n}$ of the space Ω which is defined as the projective limit of $H_k^{\otimes n}$; for $n = 0$, $H_k^{\otimes n} = \mathbb{R}$. Then its dual space is

$$(1) \qquad \left(\Omega^{\otimes n} \right)' = \bigcup_{k \in K} \left(H_k^{\otimes n} \right)' = \bigcup_{k \in K} (H_k')^{\otimes n} = \bigcup_{k \in K} H_{-k}^{\otimes n},$$

which we can equip with the weak topology.

A generalized process is a finite measure μ defined on the Borel $\sigma-$algebra on Ω'. Moreover, we say that a generalized process μ is *concentrated on* a measurable subset $\mathcal{S} \subseteq \Omega'$ if $\mu \left(\Omega' \setminus \mathcal{S} \right) = 0$.

Let us introduce the main objects involved in the realizability problem.

Definition 1.1 (Finite $n-$th local moment)**.**
Given $n \in \mathbb{N}$, a generalized process μ on Ω' has finite $n-$th local moment *(or local moment of order n) if for every $f \in \Omega$ we have*

$$\int_{\Omega'} |\langle f, \eta \rangle|^n \mu(d\eta) < \infty.$$

The latter condition is equivalent to the fact that

$$(2) \qquad (f_1, \ldots, f_n) \mapsto \int_{\Omega'} \langle f_1 \otimes \cdots \otimes f_n, \eta^{\otimes n} \rangle \mu(d\eta).$$

is a well-defined multilinear functional on $\Omega^{\times n}$. In fact, since μ has finite $n-$th local moment, for any $f_1, \ldots, f_n \in \Omega$ we get

$$\int_{\Omega'} \langle f_1 \otimes \cdots \otimes f_n, \eta^{\otimes n} \rangle \mu(d\eta) \leq \int_{\Omega'} \prod_{i=1}^{n} |\langle f_i, \eta \rangle| \mu(d\eta) \leq \prod_{i=1}^{n} \left(\int_{\Omega'} |\langle f_i, \eta \rangle|^n \mu(d\eta) \right)^{\frac{1}{n}} < \infty.$$

The functional in (2) is the $n-th$ *moment function* of μ. In the following, we require slightly more regularity on the moment functions, but this assumption is easy to check in most of applications (e.g. it holds automatically for $\Omega = \mathscr{D}(\mathbb{R}^d)$).

Definition 1.2 ($n-$th generalized moment function)**.**
Given $n \in \mathbb{N}$, a generalized process μ on Ω' has $n-$th generalized moment function in the sense of Ω' if μ has finite $n-$th local moment and if the functional (2) is symmetric in the entries $f_1, \ldots, f_n$ and continuous in $\Omega^{\times n}$.
In fact, by the Kernel Theorem, for such a generalized process there exists a symmetric functional $m_\mu^{(n)} \in (\Omega^{\otimes n})'$, which will be called the $n-$th generalized moment function in the sense of Ω', such that for any $f_1, \ldots, f_n \in \Omega$ the following holds

$$\langle f_1 \otimes \cdots \otimes f_n, m_\mu^{(n)} \rangle = \int_{\Omega'} \langle f_1 \otimes \cdots \otimes f_n, \eta^{\otimes n} \rangle \mu(d\eta).$$

By convention, $m_\mu^{(0)} := \mu(\Omega')$.

Proposition 1.3.
If μ is a generalized process on Ω' with generalized moment functions (in the sense of Ω') of any order, then for any $n \in \mathbb{N}$ and for any $f^{(n)} \in \Omega^{\otimes n}$ we have

$$\int_{\Omega'} \langle f^{(n)}, \eta^{\otimes n} \rangle \mu(d\eta) < \infty \qquad and \qquad \langle f^{(n)}, m_\mu^{(n)} \rangle = \int_{\Omega'} \langle f^{(n)}, \eta^{\otimes n} \rangle \mu(d\eta).$$

For a generalized processes μ the moment functions $m_\mu^{(n)}$ are given by an explicit formula. The moment problem, which in an infinite dimensional context is often called the *realizability problem*, addresses exactly the inverse question.

Problem 1.4 (Realizability problem on $\mathcal{S} \subseteq \Omega'$)**.**
Let $N \in \mathbb{N}_0 \cup \{+\infty\}$ and let $m = (m^{(n)})_{n=0}^N$ be such that each $m^{(n)} \in (\Omega^{\otimes n})'$ is a symmetric functional. Find a generalized process μ with generalized moments (in the sense of Ω') of any order and concentrated on a measurable subset $\mathcal{S}$ of Ω' s.t.

$$m^{(n)} = m_\mu^{(n)} \quad for \ n = 0, \ldots, N,$$

i.e. $m^{(n)}$ is the $n-th$ generalized moment function of μ for $n = 0, \ldots, N$.

If such a measure μ does exist we say that $(m^{(n)})_{n=0}^N$ is *realized* by μ on $\mathcal{S}$. Note that the definition requires that one finds a measure concentrated on $\mathcal{S}$ and not only on Ω'. In other words one can see the solution to the realizability problem as a way to read off from the moments support properties for any realizing measure.

In the case $N = \infty$ one speaks of the "full realizability problem", otherwise of the "truncated realizability problem".

2. Realizability problem on nuclear spaces

To simplify the notation in the following we denote by $\mathcal{M}^*(\mathcal{S})$ the collection of all generalized processes concentrated on a measurable subset $\mathcal{S}$ of Ω' with generalized moment functions (in the sense of Ω') of any order and by $\mathcal{F}(\Omega')$ the collection of all infinite sequences $(m^{(n)})_{n \in \mathbb{N}_0}$ such that each $m^{(n)} \in (\Omega^{\otimes n})'$ is a symmetric functional, namely the tensor product $(\Omega')^{\otimes n}$ is considered to be symmetric.

An obvious positivity property which is necessary for an element in $\mathcal{F}(\Omega')$ to be the moment sequence of some measure on Ω' is the following.

Definition 2.1 (Positive semidefinite sequence)**.**
A sequence $m \in \mathcal{F}(\Omega')$ is said to be positive semidefinite *if for any $f^{(j)} \in \Omega^{\otimes j}$ we have*

$$\sum_{j,l=0}^\infty \langle f^{(j)} \otimes f^{(l)}, m^{(j+l)} \rangle \geq 0.$$

This is a straightforward generalization of the classical notion of positive semidefiniteness of the Hankel matrices considered in the finite dimensional moment problem. Note that, as we work with real spaces, we choose the involution on Ω considered in [2] to be the identity.

Let us introduce the concept of *determining* sequence, which essentially is a growth condition on the sequence of the $m^{(n)}$'s. We will see that this property gives the uniqueness of the realizing measure.

Definition 2.2 (Determining sequence)**.**
Let $m \in \mathcal{F}(\Omega')$ and E be a total subset of Ω, i.e. the linear span of E is dense in Ω. Let us define the sequence $(m_n)_{n \in \mathbb{N}_0}$ as follows

$$(3) \quad m_0 := \sqrt{|m^{(0)}|} \ \ and \ \ m_n := \sqrt{\sup_{f_1,\ldots,f_{2n} \in E} |\langle f_1 \otimes \cdots \otimes f_{2n}, m^{(2n)} \rangle|}, \ \forall n \geq 1.$$

The sequence m is said to be determining *if and only if there exists a total subset E of Ω such that for any $n \in \mathbb{N}_0$, $m_n < \infty$ and the class $C\{m_n\}$ is quasi-analytic (see Definition 6.2 and Theorem 6.4).*

Note that from (1) it follows that for any sequence $m \in \mathcal{F}(\Omega')$ there exists a sequence $(k^{(n)})_{n \in \mathbb{N}_0} \subset K$ such that for any $n \in \mathbb{N}_0$ we have $m^{(n)} \in H_{-k^{(n)}}^{\otimes n}$. If we denote by $d(k^{(n)}, E) := \sup_{f \in E} \|f\|_{H_{k^{(n)}}}$, then for the m_n's defined in (3) we have

$$(4) \qquad m_n \le (d(k^{(2n)}, E))^n \|m^{(2n)}\|_{H_{-k^{(2n)}}^{\otimes 2n}}^{\frac{1}{2}}.$$

Hence, we can see that a preferable choice for E is the one for which $\left(d(k^{(2n)}, E)\right)_{n \in \mathbb{N}}$ grows as little as possible, (see Lemma 4.5).

Let us state now the fundamental result for the full realizability problem in the case $\mathcal{S} = \Omega'$ (see [2, Vol. II, Theorem 2.1, p.54] and [4]).

Theorem 2.3.
If $m \in \mathcal{F}(\Omega')$ is a positive semidefinite sequence which is also determining, then there exists a unique non-negative generalized process $\mu \in \mathcal{M}^*(\Omega')$ such that for any $f^{(n)} \in \Omega^{\otimes n}$

$$\left\langle f^{(n)}, m^{(n)} \right\rangle = \int_{\Omega'} \left\langle f^{(n)}, \eta^{\otimes n} \right\rangle \mu(d\eta).$$

Remark 2.4.
The original proof of Theorem 2.3 in [2] uses a slightly less general definition of determining sequence. Indeed, the authors require that the class

$$C \left\{ d(k^{(2n)}, E)^n \left\| m^{(2n)} \right\|_{H_{-k^{(2n)}}^{\otimes 2n}}^{1/2} \right\}$$

is quasi-analytic, which in turn implies that the class $C\{m_n\}$ is also quasi-analytic. Nevertheless, their proof also applies just using the bound given by Definition 2.2. The latter has actually the advantage to guarantee, whenever m is realizable on Ω, the log-convexity of the sequence $(m_n)_{n \in \mathbb{N}_0}$. This property is essential in the proof of the main result of this paper.
Let us also note that the proof of Theorem 2.3 actually shows that the measure μ is concentrated on one of the Hilbert spaces $H_{-k'}$ for some index $k' \in K$ depending on the sequence m. Indeed, the index k' is the one such that the embedding of $H_{k'}$ into $H_{k^{(2)}}$ is quasi-nuclear (see [2, Remark 1, pg. 72]). However, note that the assumptions of Theorem 2.3 do not require that all $m^{(n)} \in H_{-k'}^{\otimes n}$.

In the following we are going to apply Theorem 2.3 for $\Omega = \mathscr{D}_{proj}(\mathbb{R}^d)$, the projective limit of a family of weighted Sobolev spaces $H_k := W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r})d\mathbf{r})$ which is nuclear (see Section 3.1). Since $\Omega^{\otimes n} = \mathscr{D}_{proj}(\mathbb{R}^{dn})$, in this case the sequence m consists of symmetric generalized functions, i.e. $m^{(n)} \in \mathscr{D}_{proj}'(\mathbb{R}^{dn})$. Theorem 2.3 gives a solution for the full realizability problem on $\mathcal{S} = \mathscr{D}_{proj}'(\mathbb{R}^d)$ whenever the sequence m is positive semidefinite and determining.

3. The space of generalized functions

Let us first recall some standard general notations.

For $Y \subseteq \mathbb{R}^d$ let us denote by $\mathcal{B}(Y)$ the Borel σ-algebra on Y, by $\mathcal{C}_c(Y)$ the space of all real-valued continuous functions on $\mathbb{R}^d$ with compact support contained in Y and by $\mathcal{C}_c^\infty(Y)$ its subspace of all infinitely differentiable functions. Moreover, $\mathcal{C}_c^+(Y)$ and $\mathcal{C}_c^{+,\infty}(Y)$ will denote the cones consisting of all non-negative functions in $\mathcal{C}_c(Y)$ and $\mathcal{C}_c^\infty(Y)$, respectively. For any $\mathbf{r} = (r_1, \ldots, r_d) \in \mathbb{R}^d$ and $\alpha = (\alpha_1, \ldots, \alpha_d) \in \mathbb{N}_0^d$

one defines $\mathbf{r}^\alpha := r_1^{\alpha_1} \cdots r_d^{\alpha_d}$. Moreover, for any $\beta \in \mathbb{N}_0^d$ the symbol D^β denotes the weak partial derivative $\frac{\partial^{|\beta|}}{\partial r_1^{\beta_1} \cdots \partial r_d^{\beta_d}}$ where $|\beta| := \sum_{i=1}^d \beta_i$.

We will denote by Ω_τ the space Ω endowed with the topology τ and by Ω'_τ its topological dual space.

In the following we introduce two different topologies on $\mathcal{C}_c^\infty(\mathbb{R}^d)$, both making this space into a complete locally convex nuclear vector space.

3.1. Topological structures on $\mathcal{C}_c^\infty(\mathbb{R}^d)$.
The classical topology considered on $\mathcal{C}_c^\infty(\mathbb{R}^d)$ is the inductive topology τ_{ind}, given by the standard construction of this space as the inductive limit of spaces of smooth functions with supports lying in an increasing sequence of compact subsets of $\mathbb{R}^d$ (see Definition 6.9). We denote by $\mathscr{D}_{ind}(\mathbb{R}^d)$ the space $\mathcal{C}_c^\infty(\mathbb{R}^d)$ equipped with τ_{ind}. On the other hand, the space $\mathcal{C}_c^\infty(\mathbb{R}^d)$ can be also endowed with a projective topology τ_{proj} in the following way (see Definition 6.10 for an equivalent definition and see [1, Chapter I, Section 3.10] for more details).

Definition 3.1.
Let I be the set of all $k = (k_1, k_2(\mathbf{r}))$ such that $k_1 \in \mathbb{N}_0$, $k_2 \in \mathcal{C}^\infty(\mathbb{R}^d)$ with $k_2(\mathbf{r}) \geq 1$ for all $\mathbf{r} \in \mathbb{R}^d$. For each $k = (k_1, k_2(\mathbf{r})) \in I$, consider the space $W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r})d\mathbf{r})$ defined as the completion of $\mathcal{C}_c^\infty(\mathbb{R}^d)$ w.r.t. the following weighted norm

$$(5) \qquad \|\varphi\|_{W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r})d\mathbf{r})} := \left(\sum_{|\beta| \leq k_1} \int_{\mathbb{R}^d} \left| (D^\beta \varphi)(\mathbf{r}) \right|^2 k_2(\mathbf{r}) d\mathbf{r} \right)^{\frac{1}{2}}.$$

Then we define
$$\mathscr{D}_{proj}(\mathbb{R}^d) := \underset{(k_1, k_2(\mathbf{r})) \in I}{\text{proj}\lim} W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r})d\mathbf{r}),$$

and we denote by τ_{proj} the projective limit topology induced on $\mathcal{C}_c^\infty(\mathbb{R}^d)$ by this construction.

The previous definition of $\mathscr{D}_{proj}(\mathbb{R}^d)$ is due to Y. M. Berezansky who also proved that such a projective limit is *nuclear* (see [1, Thm 3.9, p.78] for the proof of this result). The latter property, as well as the construction of $\mathscr{D}_{proj}(\mathbb{R}^d)$ as the projective limit of Hilbert spaces, is needed to apply the results of Section 2.

Note that as sets, $\mathscr{D}_{ind}(\mathbb{R}^d)$ and $\mathscr{D}_{proj}(\mathbb{R}^d)$ coincide but the topologies τ_{ind} and τ_{proj} are not equivalent. In fact, it easily follows from the definitions of the two topologies that $\tau_{proj} \subset \tau_{ind}$. Hence, we have that $\mathscr{D}'_{proj}(\mathbb{R}^d) \subseteq \mathscr{D}'_{ind}(\mathbb{R}^d)$ but this inclusion is actually strict.

3.2. Measurability of $\mathscr{D}'_{proj}(\mathbb{R}^d)$ in $\mathscr{D}'_{ind}(\mathbb{R}^d)$.
The weak topology τ_w^{proj} [τ_w^{ind}, resp.] on $\mathscr{D}'_{proj}(\mathbb{R}^d)$ [$\mathscr{D}'_{ind}(\mathbb{R}^d)$, resp.] is the smallest topology such that the mappings $\eta \mapsto \langle f, \eta \rangle$ are continuous for all $f \in \mathcal{C}_c^\infty(\mathbb{R}^d)$. It is easy to see that τ_w^{proj} coincides with the relative topology given by τ_w^{ind} on $\mathscr{D}'_{proj}(\mathbb{R}^d) \subset \mathscr{D}'_{ind}(\mathbb{R}^d)$. As a consequence, the Borel σ−algebras generated by the two topologies also coincide and we can easily conclude that

$$(6) \qquad \sigma(\tau_w^{proj}) = \sigma(\tau_w^{ind}) \cap \mathscr{D}'_{proj}(\mathbb{R}^d).$$

Let us recall some properties of $\mathscr{D}'_{ind}(\mathbb{R}^d)$.
Consider the strong topology τ_s^{ind} on $\mathscr{D}'_{ind}(\mathbb{R}^d)$. It is well known that τ_s^{ind} coincides with the topology of compact convergence τ_c^{ind} and so, by Corollary 1 in [40, Chapter II, p.115], $(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_c^{ind})$ is Lusin. Moreover, since $\tau_w^{ind} \subset \tau_s^{ind}$, the space $(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_w^{ind})$ is also Lusin. Hence, by Theorem 9 in [40, Chapter II, p.122]), the following proposition holds.

Proposition 3.2.
$\left(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_w^{ind}\right)$ *is a Radon space, i.e. every finite Borel measure on* $\mathscr{D}'_{ind}(\mathbb{R}^d)$ *is inner regular.*

We were unable to find in the literature an analogous result establishing whether $\left(\mathscr{D}'_{proj}(\mathbb{R}^d), \tau_w^{proj}\right)$ is a Radon space or not. In fact, the techniques used in [40] do not apply to $\mathscr{D}'_{proj}(\mathbb{R}^d)$.

On the level of Borel $\sigma-$algebras on $\mathscr{D}'_{ind}(\mathbb{R}^d)$, we have that any Borel $\sigma-$algebra generated by a topology weaker than τ_s^{ind} coincides with the one generated by τ_s^{ind}, since $\left(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_s^{ind}\right)$ is a Lusin space and so Suslin (see [40, Corollary 2, p.101]).

4. REALIZABILITY PROBLEM ON BASIC SEMI-ALGEBRAIC SUBSETS OF $\mathscr{D}'_{proj}(\mathbb{R}^d)$

Let $\mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{ind}(\mathbb{R}^d)\right)$ be the set of all polynomials on $\mathscr{D}'_{ind}(\mathbb{R}^d)$ of the form

$$(7) \qquad P(\eta) := \sum_{j=0}^{N} \langle p^{(j)}, \eta^{\otimes j}\rangle,$$

where $p^{(0)} \in \mathbb{R}$ and $p^{(j)} \in \mathcal{C}_c^\infty(\mathbb{R}^{dj})$, $j = 1, \ldots, N$ with $N \in \mathbb{N}$. Note that as $\mathscr{D}'_{proj}(\mathbb{R}^d) \subset \mathscr{D}'_{ind}(\mathbb{R}^d)$, these polynomials are also polynomials on $\mathscr{D}'_{proj}(\mathbb{R}^d)$.
We denote by $\Sigma_{\mathcal{C}_c^\infty}(\mathscr{D}'_{proj}(\mathbb{R}^d))$ the subset of all polynomials in $\mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right)$ which can be written as sum of squares of polynomials.

A subset $\mathcal{S}$ of $\mathscr{D}'_{proj}(\mathbb{R}^d)$ is said to be *basic semi-algebraic* if it can be written as

$$(8) \qquad \mathcal{S} = \bigcap_{i \in Y} \left\{\eta \in \mathscr{D}'_{proj}(\mathbb{R}^d) \mid P_i(\eta) \geq 0\right\},$$

where Y is an index set and $P_i \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right)$. Note that the index set Y is not necessarily countable. Moreover, let $\mathscr{P}_{\mathcal{S}}$ be the set of all the polynomials P_i's defining $\mathcal{S}$. W.l.o.g. we assume that P_0 is the constant polynomial $P_0(\eta) = 1$ for all $\eta \in \mathscr{D}'_{proj}(\mathbb{R}^d)$ and that $0 \in Y$. We define the quadratic module $\mathcal{Q}(\mathscr{P}_{\mathcal{S}})$ associated to the representation (8) of $\mathcal{S}$ as the convex cone in $\mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}'_{proj}(\mathbb{R}^d))$ given by

$$\mathcal{Q}(\mathscr{P}_{\mathcal{S}}) := \bigcup_{\substack{Y_0 \subset Y \\ |Y_0| < \infty}} \left\{\sum_{i \in Y_0} Q_i P_i \; : \; Q_i \in \Sigma_{\mathcal{C}_c^\infty}(\mathscr{D}'_{proj}(\mathbb{R}^d))\right\}.$$

Proposition 4.1.
Every polynomial in $\mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{ind}(\mathbb{R}^d)\right)$ *is continuous w.r.t.* τ_s^{ind}. *Hence, the basic semi-algebraic set* $\mathcal{S}$ *defined in* (8) *is closed in* $(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_s^{ind})$.

Proof.
To show the continuity of a generic polynomial of the form (7), it suffices to prove that for all $j \in \mathbb{N}$ the functions

$$\begin{aligned} \mathscr{D}'_{ind}(\mathbb{R}^d) &\to \mathbb{R} \\ \eta &\mapsto \langle p^{(j)}, \eta^{\otimes j}\rangle \end{aligned}$$

are continuous w.r.t. τ_s^{ind}.

For any fixed $j \in \mathbb{N}$, we first consider the mapping $\eta \mapsto \eta^{\otimes j}$ which is continuous as a function from the space $(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_s^{ind})$ to the algebraic tensor product $\left(\mathscr{D}'_{ind}(\mathbb{R}^d)\right)^{\otimes j}$ endowed with the $\pi-$topology (see [45, Definition 43.2]). Moreover, the closure of the latter space is isomorphic to $(\mathscr{D}'_{ind}(\mathbb{R}^{jd}), \tau_s^{ind})$ (see [45, Theorem 51.7]). Finally, the function $\zeta \mapsto \langle p^{(j)}, \zeta\rangle$ on $\mathscr{D}'_{ind}(\mathbb{R}^{jd})$ is continuous w.r.t. the weak topology on this space and hence, it is also continuous w.r.t. the strong one.
$\square$

Corollary 4.2.
The semi-algebraic set $\mathcal{S}$ defined as in (8) is measurable w.r.t. the Borel $\sigma-$algebra $\sigma(\tau_w^{ind})$ generated by the weak topology on $\mathscr{D}_{ind}'(\mathbb{R}^d)$.

Proof.
The previous proposition implies that $\mathcal{S} \in \sigma(\tau_s^{ind})$. As $(\mathscr{D}_{ind}'(\mathbb{R}^d), \tau_s^{ind})$ is a Lusin space and so Suslin, $\sigma(\tau_w^{ind})$ and $\sigma(\tau_s^{ind})$ coincide (see [40, Corollary 2, p.101]). Hence, $\mathcal{S} \in \sigma(\tau_w^{ind})$.

$\square$

In the following, we are going to investigate the full realizability problem (see Problem 1.4) on $\mathcal{S}$ of the form (8). Let us introduce the version of the Riesz linear functional for the moment problem on $\mathscr{D}_{proj}'(\mathbb{R}^d)$.

Definition 4.3.
Given $m \in \mathcal{F}\left(\mathscr{D}_{proj}'(\mathbb{R}^d)\right)$, we define its associated Riesz functional L_m as

$$L_m : \qquad \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}_{proj}'(\mathbb{R}^d)\right) \qquad \to \mathbb{R}$$

$$P(\eta) = \sum_{n=0}^N \langle p^{(n)}, \eta^{\otimes n} \rangle \quad \mapsto L_m(P) := \sum_{n=0}^N \langle p^{(n)}, m^{(n)} \rangle.$$

Note that in the case when the sequence m is realized by a non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S})$ on a subset $\mathcal{S} \subseteq \mathscr{D}_{proj}'(\mathbb{R}^d)$, then a direct calculation shows that for any polynomial $P \in \mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}_{proj}'(\mathbb{R}^d))$

$$(9) \qquad\qquad L_m(P) = \int_{\mathcal{S}} P(\eta)\, \mu(d\eta).$$

The Riesz functional allows us to state our main result in a concise form.

Theorem 4.4.
Let $m \in \mathcal{F}\left(\mathscr{D}_{proj}'(\mathbb{R}^d)\right)$ be determining and $\mathcal{S}$ be a basic semi-algebraic set of the form (8). Then m is realized by a unique non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S})$ if and only if the following inequalities hold

$$(10) \qquad L_m(h^2) \geq 0,\ L_m(P_i h^2) \geq 0\ ,\ \forall h \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}_{proj}'(\mathbb{R}^d)\right),\ \forall i \in Y.$$

Equivalently, if and only if the functional L_m is non-negative on the quadratic module $\mathcal{Q}(\mathscr{P}_{\mathcal{S}})$.

Despite of the apparently abstract character of the determinacy condition given in Definition 2.2, the latter becomes actually concrete whenever one can explicitly construct the set E. This is possible for the nuclear space $\mathscr{D}_{proj}(\mathbb{R}^d)$. In fact, using a technique similar to the one of [13, Chapter 4, Section 9] we get the following result (see Appendix 6.3 for a detailed proof).

Lemma 4.5.
Let c_n be an increasing sequence of positive numbers which is not quasi-analytic and let $m \in \mathcal{F}(\Omega')$. For any $n \in \mathbb{N}_0$, let $k^{(n)} := (k_1^{(n)}, k_2^{(n)}) \in I$ be such that $m^{(n)} \in H_{-k^{(n)}}^{\otimes n}$ where $H_{k^{(n)}} := W_2^{k_1^{(n)}}(\mathbb{R}^d, k_2^{(n)}(\mathbf{r})d\mathbf{r})$ and I is as in Definition 3.1. Then the set

$$E := \left\{ f \in \mathscr{D}_{proj}(\mathbb{R}^d) \,\middle|\, \forall\ n \in \mathbb{N}_0,\ \|f\|_{H_{k^{(n)}}} \leq c_{k_1^{(n)}}^d \sup_{\substack{\mathbf{z} \in \mathbb{R}^d \\ \|\mathbf{z}\| \leq n}} \sup_{\mathbf{x} \in [-1,1]^d} \sqrt{k_2^{(n)}(\mathbf{z}+\mathbf{x})} \right\}$$

is total in $\mathscr{D}_{proj}(\mathbb{R}^d)$.

For such a set E, using (4), we get that

$$m_n \leq c_{k_1^{(n)}}^{dn} \left(\sup_{\substack{\mathbf{z} \in \mathbb{R}^d \\ \|\mathbf{z}\| \leq n}} \sup_{\mathbf{x} \in [-1,1]^d} \sqrt{k_2^{(n)}(\mathbf{z}+\mathbf{x})} \right)^n \|m^{(2n)}\|_{H_{-k^{(2n)}}^{\otimes 2n}}^{\frac{1}{2}}.$$

Remark 4.6.
The more regularity is known on the sequence m the weaker is the restriction on the growth of the $m^{(2n)}$ required in Theorem 4.4. Let us discuss two extremal cases.

- *If each $m^{(n)}$ is in $H_{-k}^{\otimes n}$ where $k = (k_1, k_2(\mathbf{r})) \in I$ with both k_1 and k_2 independent of n, then both $c_{k_1^{(n)}}$ and $\sup_{\substack{\mathbf{z} \in \mathbb{R}^d \\ \|\mathbf{z}\| \leq n}} \sup_{\mathbf{x} \in [-1,1]^d} \sqrt{k_2^{(n)}(\mathbf{z}+\mathbf{x})}$ in Lemma 4.5 are constant w.r.t. n and so a sufficient condition for the determinacy of m is the quasi-analyticity of the class $C\{\|m^{(2n)}\|_{H_{-k}^{\otimes 2n}}^{1/2}\}$.*

- *If each $m^{(n)}$ is in $H_{-k^{(n)}}^{\otimes n}$ where $k^{(n)} = (k_1, k_2^{(n)}(\mathbf{r})) \in I$ with k_1 independent of n, then $c_{k_1^{(n)}}$ in Lemma 4.5 is constant w.r.t. n and so a sufficient condition for the determinacy of m is the quasi-analyticity of the class*

$$C\left\{ \left(\sup_{\substack{\mathbf{z} \in \mathbb{R}^d \\ \|\mathbf{z}\| \leq n}} \sup_{\mathbf{x} \in [-1,1]^d} \sqrt{k_2^{(n)}(\mathbf{z}+\mathbf{x})} \right)^n \|m^{(2n)}\|_{H_{-k^{(n)}}^{\otimes 2n}}^{1/2} \right\}.$$

  Hence, the condition on m of being determining also contains the growth of the sequence of functions $(k_2^{(n)})_{n \in \mathbb{N}}$.

Before proving Theorem 4.4 we need to show some preliminary results. Remind that throughout the whole section we consider a sequence $m \in \mathcal{F}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right)$.

Definition 4.7.
Given a polynomial $P \in \mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}'_{proj}(\mathbb{R}^d))$ of the form $P(\eta) := \sum_{j=0}^{N} \langle p^{(j)}, \eta^{\otimes j} \rangle$, we define the sequence $_Pm = \left((_Pm)^{(n)} \right)_{n \in \mathbb{N}_0}$ in $\mathcal{F}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right)$ as follows

$$\forall f^{(n)} \in \mathcal{C}_c^\infty(\mathbb{R}^{nd}), \quad \langle f^{(n)}, (_Pm)^{(n)} \rangle := \sum_{j=0}^{N} \langle p^{(j)} \otimes f^{(n)}, m^{(n+j)} \rangle.$$

In terms of the Riesz functional introduced in Definition 4.3, the previous definition takes the following form

(11) $$\forall P, Q \in \mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}'_{proj}(\mathbb{R}^d)), \quad L_{_Pm}(Q) := L_m(PQ).$$

Remark 4.8.
The conditions (10) can be interpreted as that the sequence $(m^{(n)})_{n \in \mathbb{N}_0}$ and all its shifted versions $((_{P_i}m)^{(n)})_{n \in \mathbb{N}_0}$ are positive semidefinite in the sense of Definition 2.1.

Lemma 4.9.
Let $P \in \mathscr{P}_{\mathcal{C}_c^\infty}(\mathscr{D}'_{proj}(\mathbb{R}^d))$. If m is realized on $\mathscr{D}'_{proj}(\mathbb{R}^d)$ by a non-negative measure $\mu \in \mathcal{M}^*(\mathscr{D}'_{proj}(\mathbb{R}^d))$, then the sequence $_Pm$ is realized by the signed measure $P\mu$ on $\mathscr{D}'_{proj}(\mathbb{R}^d)$.

Proof.
Let $n \in \mathbb{N}$ and $Q(\eta) := \langle f^{(n)}, \eta^{\otimes n} \rangle$ with $f^{(n)} \in \mathcal{C}_c^\infty(\mathbb{R}^{nd})$. Then, using (9) and (11), one gets that

$$\int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle f^{(n)}, \eta^{\otimes n} \rangle P(\eta) \mu(d\eta) = L_m(QP) = L_{_Pm}(Q) = \langle f^{(n)}, (_Pm)^{(n)} \rangle.$$

$\square$

Proposition 4.10.
If m is realized by a measure $\mu \in \mathcal{M}^*(\mathscr{D}'_{proj}(\mathbb{R}^d))$ and m is determining, then the sequence $_Pm$ is also determining.

Proof.
Let us first recall that $\mathscr{D}_{proj}(\mathbb{R}^d) = \operatorname*{proj\,lim}_{k \in I} H_k$, where I is as in Definition 3.1 and
$H_k := W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r})d\mathbf{r})$ for any $k = (k_1, k_2(\mathbf{r})) \in I$ (see Section 3.1).

Since m is determining in the sense of Definition 2.2, there exists a subset E total in $\mathscr{D}_{proj}(\mathbb{R}^d)$ such that for any $n \in \mathbb{N}_0$, $m_n < \infty$ and the class $C\{m_n\}$ is quasi-analytic, where

$$m_n := \sqrt{\sup_{f_1, \ldots, f_{2n} \in E} \left| \langle f_1 \otimes \cdots \otimes f_{2n}, m^{(2n)} \rangle \right|}.$$

It is easy to see that, since m is realized by a measure $\mu \in \mathcal{M}^*(\mathscr{D}'_{proj}(\mathbb{R}^d))$, the sequence $(m_n)_{n \in \mathbb{N}_0}$ is also log-convex.

We will show that there exists a finite positive constant c_P such that

$$(12) \qquad \tilde{m}_n := \sqrt{\sup_{f_1, \ldots, f_{2n} \in E} \left| \langle f_1 \otimes \cdots \otimes f_{2n}, (_Pm)^{(2n)} \rangle \right|} \leq \sqrt{c_P m_{2n}}.$$

The latter bound is sufficient to prove that the sequence $_Pm$ is determining. In fact, the log-convexity of $(m_n)_{n \in \mathbb{N}_0}$ and the quasi-analiticity of $C\{m_n\}$ imply that the class $C\{\sqrt{c_P m_{2n}}\}$ is also quasi-analytic (see Lemma 6.8 and Proposition 6.5). Hence, (12) gives that $C\{\tilde{m}_n\}$ is also quasi-analytic.

It remains to show the bound in (12).
Let us fix $n \in \mathbb{N}$. Using Definition 4.7 and the assumption that m is realized by μ on $\mathscr{D}'_{proj}(\mathbb{R}^d)$, we get that for any $f_1, \ldots, f_{2n} \in \mathcal{C}_c^\infty(\mathbb{R}^d)$

$$
\begin{aligned}
\left| \langle f_1 \otimes \cdots \otimes f_{2n}, (_Pm)^{(2n)} \rangle \right| &\leq \sum_{j=0}^N \left| \int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle p^{(j)}, \eta^{\otimes j} \rangle \langle f_1 \otimes \cdots \otimes f_{2n}, \eta^{\otimes(2n)} \rangle \mu(d\eta) \right| \\
&\leq c_P \left(\int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \left| \langle f_1 \otimes \cdots \otimes f_{2n}, \eta^{\otimes 2n} \rangle \right|^2 \mu(d\eta) \right)^{\frac{1}{2}} \\
&= c_P \left| \langle f_1^{\otimes 2} \otimes \cdots \otimes f_{2n}^{\otimes 2}, m^{(4n)} \rangle \right|^{\frac{1}{2}},
\end{aligned}
$$

where

$$c_P := \sum_{j=0}^N \left(\int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \left| \langle p^{(j)}, \eta^{\otimes j} \rangle \right|^2 \mu(d\eta) \right)^{\frac{1}{2}}.$$

Note that c_P is a finite positive constant since the realizing measure μ has finite local moments of any order. Hence, using the definition of m_n and $\tilde{m}_n$, we get (12).

$\square$

Proof. (Theorem 4.4).
Necessity
Assume that m is realized on $\mathcal{S}$ by a non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S})$. Using (9), we get that for any $h \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right)$ and for any $i \in Y$ the following hold

$$L_m(h^2) = \int_{\mathcal{S}} h^2(\eta)\,\mu(d\eta) \quad \text{and} \quad L_m(P_i h^2) = \int_{\mathcal{S}} P_i(\eta) h^2(\eta)\,\mu(d\eta).$$

Since integrals of non-negative functions w.r.t. a non-negative measure are non-negative, the inequalities in (10) hold.

Sufficiency

As already observed in Remark 4.8, the assumptions in (10) mean that the sequence m and $_Pm$ are positive semidefinite. Since m is assumed to be determining, Theorem 2.3 guarantees the existence of a unique non-negative measure $\mu \in \mathcal{M}^*(\mathscr{D}'_{proj}(\mathbb{R}^d))$ realizing m. On the one hand, according to Lemma 4.9 the sequence $_{P_i}m$ is realized by the signed measure $P_i\mu$, i.e. for any $f^{(n)} \in \mathcal{C}_c^\infty(\mathbb{R}^{nd})$

$$(13) \qquad \langle f^{(n)}, (_{P_i}m)^{(n)}\rangle = \int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle f^{(n)}, \eta^{\otimes n}\rangle P_i(\eta)\mu(d\eta).$$

On the other hand, by Proposition 4.10, the sequence $_{P_i}m$ is also determining. Hence, applying again Theorem 2.3, the sequence $_{P_i}m$ is realized by a unique non-negative measure $\nu \in \mathcal{M}^*(\mathscr{D}'_{proj}(\mathbb{R}^d))$, namely for any $f^{(n)} \in \mathcal{C}_c^\infty(\mathbb{R}^{nd})$

$$(14) \qquad \langle f^{(n)}, (_{P_i}m)^{(n)}\rangle = \int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle f^{(n)}, \eta^{\otimes n}\rangle \nu(d\eta).$$

Let $A_i := \left\{\eta \in \mathscr{D}'_{proj}(\mathbb{R}^d) : P_i(\eta) \geq 0\right\}$ and let us define $\mu_i^+(B) := \mu(B \cap A_i)$ and $\mu_i^-(B) := \mu(B \cap (\mathscr{D}'_{proj}(\mathbb{R}^d) \setminus A_i))$, for all $B \in \mathcal{B}(\mathscr{D}'_{proj}(\mathbb{R}^d))$. Moreover, let us consider the non-negative measures σ_i^+ and σ_i^- given by $\sigma_i^+(B) := \int_B P_i(\eta)\mu_i^+(d\eta)$ and $\sigma_i^-(B) := -\int_B P_i(\eta)\mu_i^-(d\eta)$, for all $B \in \mathcal{B}(\mathscr{D}'_{proj}(\mathbb{R}^d))$. Hence, we have that $\mu = \mu_i^+ + \mu_i^-$ and $P_i\mu = \sigma_i^+ - \sigma_i^-$. According to this notation, (13) and (14) can be rewritten as

$$(15)$$
$$\int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle f^{(n)}, \eta^{\otimes n}\rangle\sigma_i^+(d\eta) = \int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle f^{(n)}, \eta^{\otimes n}\rangle\sigma_i^-(d\eta) + \int_{\mathscr{D}'_{proj}(\mathbb{R}^d)} \langle f^{(n)}, \eta^{\otimes n}\rangle\nu(d\eta).$$

Since m is determining and since $\mu^+ \leq \mu$, the sequence m^+ consisting of all moment functions of μ^+ is also determining. By Proposition 4.10, the sequence $_{P_i}m^+$ is determining, too.

As the two non-negative measures σ_i^+ and $\sigma_i^- + \nu$ both realize the determining sequence $_{P_i}m^+$, they coincide because Theorem 2.3 also guarantees the uniqueness of the realizing measure. This implies that the signed measure $P_i\mu$ is actually a non-negative measure on $\mathscr{D}'_{proj}(\mathbb{R}^d)$ and therefore, we have that

$$(16) \qquad \forall\, i \in Y, \quad \mu\left(\mathscr{D}'_{proj}(\mathbb{R}^d) \setminus A_i\right) = 0.$$

The set $\mathcal{S} = \bigcap_{i \in Y} A_i \in \sigma(\tau_w^{ind})$ by Corollary 4.2 and hence, $\mathcal{S} \in \sigma(\tau_w^{proj})$ by (6). It remains to show that μ is concentrated on the set $\mathcal{S}$, i.e. $\mu\left(\mathscr{D}'_{proj}(\mathbb{R}^d) \setminus \mathcal{S}\right) = 0$. If Y is countable, then the conclusion immediately follows from (16) using the countable subadditivity of μ. In the case when Y is uncountable, the latter argument does not work anymore but we can still get that the measure is concentrated on $\mathcal{S}$ proceeding as follows. First, let us extend μ to a measure μ' on $\mathscr{D}'_{ind}(\mathbb{R}^d)$ by defining $\mu'(M) := \mu(M \cap \mathscr{D}'_{proj}(\mathbb{R}^d))$, for all $M \in \sigma(\tau_w^{ind})$. As $(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_w^{ind})$ is a Radon space (see Proposition 3.2), the finite measure μ' is inner regular. This means that for any $M \in \sigma(\tau_w^{ind})$ and for any $\varepsilon > 0$ there exists a compact set $K_\varepsilon \in \sigma(\tau_w^{ind})$ such that $K_\varepsilon \subseteq M$, with

$$(17) \qquad \mu'(M) < \mu'(K_\varepsilon) + \varepsilon.$$

Let us apply this property to $M = \mathscr{D}'_{ind}(\mathbb{R}^d) \setminus \mathcal{S} = \bigcup_{i \in Y} \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus A_i\right)$. Since the sets $\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus A_i$ form an open cover of K_ε, the compactness of K_ε in $\left(\mathscr{D}'_{ind}(\mathbb{R}^d), \tau_w^{ind}\right)$

implies that there exists a finite open subcover of K_ε, i.e. there exists a finite subset $J \subset Y$ such that $K_\varepsilon \subseteq \bigcup_{i \in J} \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus A_i \right)$. Therefore, we have that

$$0 \leq \mu'(K_\varepsilon) \leq \mu' \left(\bigcup_{i \in J} \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus A_i \right) \right) \leq \sum_{i \in J} \mu \left(\left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus A_i \right) \cap \mathscr{D}'_{proj}(\mathbb{R}^d) \right) = 0,$$

where in the last equality we used (16). Moreover, by (17), we have that

$$\mu' \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus \mathcal{S} \right) \leq \mu'(K_\varepsilon) + \varepsilon = \varepsilon.$$

Since this holds for any $\varepsilon > 0$, we get $\mu' \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus \mathcal{S} \right) = 0$ and hence, $0 = \mu' \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus \mathcal{S} \right) = \mu \left(\left(\mathscr{D}'_{ind}(\mathbb{R}^d) \setminus \mathcal{S} \right) \cap \mathscr{D}'_{proj}(\mathbb{R}^d) \right) = \mu \left(\mathscr{D}'_{proj}(\mathbb{R}^d) \setminus \mathcal{S} \right).$
□

Theorem 4.4 does still hold for any basic semi-algebraic set $\mathcal{S}$ which is subset of $\mathscr{D}'_{ind}(\mathbb{R}^d)$ (instead of $\mathscr{D}'_{proj}(\mathbb{R}^d)$) and gives a realizing measure actually concentrated on $\mathcal{S} \cap \mathscr{D}'_{proj}(\mathbb{R}^d)$. If $\mathcal{S} \cap \mathscr{D}'_{proj}(\mathbb{R}^d) = \emptyset$, then there is no contradiction because Theorem 4.4 shows that the only realizing measure is identically equal to zero, and so we know *a posteriori* that all the moment functions were zeros. However, the case $\mathcal{S} \cap \mathscr{D}'_{proj}(\mathbb{R}^d) \neq \emptyset$ is very common, since $\mathscr{D}'_{proj}(\mathbb{R}^d)$ contains all tempered distributions, Radon measures and all locally integrable functions. Hence, if at least a single one of such generalized functions is contained in $\mathcal{S}$ then $\mathcal{S} \cap \mathscr{D}'_{proj}(\mathbb{R}^d) \neq \emptyset$ and Theorem 4.4 can be applied to get a non-zero realizing measure supported on $\mathcal{S}$, indeed on $\mathcal{S} \cap \mathscr{D}'_{proj}(\mathbb{R}^d)$. Note that in Theorem 4.4 it is not sufficient to just assume that $m \in \mathcal{F} \left(\mathscr{D}'_{ind}(\mathbb{R}^d) \right)$. However, the assumption $m \in \mathcal{F} \left(\mathscr{D}'_{proj}(\mathbb{R}^d) \right)$ is not a restrictive requirement in any application.

5. APPLICATIONS

In this section we give some concrete applications of Theorem 4.4.
In Subsection 5.1, we present Theorem 4.4 in the finite dimensional case. This theorem generalizes the results already know in literature about the classical moment problem on a basic semi-algebraic set of $\mathbb{R}^d$.
In Subsection 5.2, we study the case when we assume more regularity of type IV on the putative moment functions, that is, we require that they are non-negative symmetric Radon measures. The advantage of this additional assumption is that it allows us to simplify the condition of determinacy and hence, to give an adapted version of Theorem 4.4. In Subsection 5.3, we derive conditions on the putative moment functions to be realized by a random measure, that is, we assume $\mathcal{S}$ to be the set of all Radon measures on $\mathbb{R}^d$. In this case, the fact that all the moment functions are themselves Radon measures is a necessary condition and so the results of Subsection 5.2 can be exploited. In Subsection 5.4, we consider the case when $\mathcal{S}$ is the set of Radon measures with Radon-Nikodym densities w.r.t. the Lebesgue measure fulfilling an *a priori* L^∞ bound.

From now on let us denote by $\mathcal{R}(\mathbb{R}^d)$ the space of all Radon measures on $\mathbb{R}^d$, namely the space of all non-negative Borel measures that are finite on compact sets in $\mathbb{R}^d$.

5.1. **Finite dimensional case.**
The $d-$dimensional moment problem on a closed basic semi-algebraic set $\mathcal{S}$ of $\mathbb{R}^d$ is a special case of Problem 1.4 for $\Omega = H_0 = \mathbb{R}^d$. Hence, Theorem 4.4 can be applied also in the finite dimensional case, where the condition $m := (m^{(n)})_{n \in \mathbb{N}_0} \in \mathcal{F} \left(\mathbb{R}^d \right)$ holds for any multi-sequence of real numbers. In fact, if we denote by $\{e_1, \ldots, e_d\}$

the canonical basis of $\mathbb{R}^d$ then we have that for each $n \in \mathbb{N}_0$,

$$m^{(n)} := \sum_{\substack{n_1,\ldots,n_d \in \mathbb{N}_0 \\ n_1 + \cdots + n_d = n}} m^{(n)}_{n_1,\ldots,n_d} \underbrace{e_1 \otimes \cdots \otimes e_1}_{n_1 \text{ times}} \otimes \cdots \otimes \underbrace{e_d \otimes \cdots \otimes e_d}_{n_d \text{ times}} \in \mathbb{R}^{dn}$$

The notion of polynomials, quadratic module and Riesz's functional given at the beginning of Section 4, in the $d-$dimensional case coincide with the classical ones. The condition of determinacy on m reduces to the requirement that the class

$$C \left\{ \sqrt{\max_{\substack{n_1,\ldots,n_d \in \mathbb{N}_0 \\ n_1 + \cdots + n_d = 2n}} |m^{(2n)}_{n_1,\ldots,n_d}|} \right\} \text{ is quasi-analytic. This follows by taking the subset}$$

$E := \{e_1,\ldots,e_d\}$ in Definition 2.2.

In this framework, the whole proof we made in the infinite dimensional case can be employed as well, taking in consideration that $\mathbb{R}^d$ is Polish and so Radon. Actually, we can even get a stronger result by refining our proof in finite dimensions. Indeed, if we replace the assumption of m being determining with the classical multivariate Carleman condition, that is for any $i \in \{1,\ldots,d\}$ the class $C \left\{ \sqrt{|m^{(2n)}_{0,\ldots,0,2n,0,\ldots,0}|} \right\}$ is quasi-analytic (where $2n$ is at the $i-th$ position of the index $d-$tuple), then we can still use the same proof but we need to substitute Theorem 2.3 with the $d-$dimensional version of Hamburger's theorem (see e.g. [41, 31, 5]). In this way, we obtain the following general result.

Theorem 5.1.
Let m be a multi-sequence of real numbers, which fulfills the classical multivariate Carleman condition and let

$$\mathcal{S} = \bigcap_{i \in Y} \left\{ \mathbf{r} \in \mathbb{R}^d \mid P_i(\mathbf{r}) \geq 0 \right\},$$

where Y is an index set not necessarily countable and $P_i \in \mathscr{P}_{\mathbb{R}}\left(\mathbb{R}^d\right)$ that is polynomial on $\mathbb{R}^d$ with real coefficients. Then m is realized by a unique non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S})$ if and only if the following inequalities hold

$$L_m(h^2) \geq 0, \ L_m(P_i h^2) \geq 0 \ , \ \forall h \in \mathscr{P}_{\mathbb{R}}\left(\mathbb{R}^d\right), \ \forall i \in Y.$$

Equivalently, if and only if the functional L_m is non-negative on the quadratic module $\mathcal{Q}(\mathscr{P}_{\mathcal{S}})$.

This theorem extends the result given by Lasserre in [22]. In fact, Theorem 5.1 includes the case when $\mathcal{S}$ is defined by an uncountable family of polynomials. Furthermore, the classical multivariate Carleman condition assumed in Theorem 5.1 is a more general bound than the one assumed in [22].

5.2. **Realizability of Radon measures.**

Definition 5.2.
A sequence $m \in \mathcal{F}\left(\mathcal{R}(\mathbb{R}^d)\right)$ satisfies the weighted Carleman type condition if for each $n \in \mathbb{N}$ there exists a function $k_2^{(n)} \in \mathcal{C}^\infty(\mathbb{R}^d)$ with $k_2^{(n)}(\mathbf{r}) \geq 1$ for all $\mathbf{r} \in \mathbb{R}^d$ such that

$$(18) \qquad \sum_{n=1}^{\infty} \frac{1}{\left(\sup_{\substack{\mathbf{z} \in \mathbb{R}^d \\ \|\mathbf{z}\| \leq n}} \sup_{\mathbf{x} \in [-1,1]^d} \sqrt{\tilde{k}_2^{(n)}(\mathbf{z} + \mathbf{x})} \right) \sqrt[2n]{\int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1,\ldots,d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2^{(n)}(\mathbf{r}_l)}}} = \infty,$$

where $\tilde{k}_2^{(n)} \in \mathcal{C}^\infty(\mathbb{R}^d)$ such that $\tilde{k}_2^{(n)}(\mathbf{r}) \geq \left| (D^\kappa k_2^{(n)})(\mathbf{r}) \right|^2$ for all $|\kappa| \leq \lceil \frac{d+1}{2} \rceil$.

As suggested by the name, the condition (18) is an infinite-dimensional weighted version of the classical Carleman condition, which ensures the uniqueness of the solution to the $d-$dimensional moment problem (for $d = 1$ see [8], for $d \geq 2$ see e.g. [41, 31, 5, 11]) .

Corollary 5.3.
Let $m \in \mathcal{F}\left(\mathcal{R}(\mathbb{R}^d)\right)$ fulfill the weighted Carleman type condition in Definition 5.2 and let $\mathcal{S} \subseteq \mathscr{D}'_{proj}(\mathbb{R}^d)$ be a basic semi-algebraic of the form (8). Then m is realized by a unique non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S})$ with

$$(19) \qquad \int_{\mathcal{S}} \langle \frac{1}{k_2^{(n)}}, \eta \rangle^n \mu(d\eta) < \infty, \quad \forall n \in \mathbb{N}_0,$$

if and only if the following inequalities hold

$$(20) \qquad L_m(h^2) \geq 0, \ L_m(P_i h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right), \forall i \in Y,$$

and for any $n \in \mathbb{N}_0$ we have

$$(21) \qquad \int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1, \ldots, d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2^{(2n)}(\mathbf{r}_l)} < \infty.$$

Remark 5.4.
If m is realized by a non-negative measure $\mu \in \mathcal{M}^*(\mathscr{D}'_{proj}(\mathbb{R}^d))$ and m satisfies (18) then (21) holds also for the odd orders.

Corollary 5.3 is essentially a consequence of the following proposition.

Proposition 5.5.
If m satisfies (18) and (21), then m is a determining sequence in the sense of Definition 2.2.

Proof.
Let us preliminarily recall that $\mathcal{R}(\mathbb{R}^d) \subset \mathscr{D}'_{proj}(\mathbb{R}^d)$ and so m is automatically in $\mathcal{F}(\mathscr{D}'_{proj}(\mathbb{R}^d))$ as required by Definition 2.2.
For any $f_1, \ldots, f_n \in \mathcal{C}_c^\infty(\mathbb{R}^d)$ and any $n \in \mathbb{N}$ we can easily see that

$$(22) \qquad \left| \left\langle f_1 \otimes \cdots \otimes f_n, m^{(n)} \right\rangle \right| \leq \int_{\mathbb{R}^{nd}} \prod_{l=1}^n k_2^{(n)}(\mathbf{r}_l) \, |f_l(\mathbf{r}_l)| \frac{m^{(n)}(d\mathbf{r}_1, \ldots, d\mathbf{r}_n)}{\prod_{l=1}^n k_2^{(n)}(\mathbf{r}_l)}.$$

By the Sobolev embedding theorem for weighted spaces (see [1]), we get that for any $\tilde{k}_2^{(n)} \in \mathcal{C}^\infty(\mathbb{R}^d)$ with $\tilde{k}_2^{(n)}(\mathbf{r}) \geq \left| (D^\kappa k_2^{(n)})(\mathbf{r}) \right|^2$ for all $|\kappa| \leq \lceil \frac{d+1}{2} \rceil$, $\mathcal{C}_c(\mathbb{R}^d) \subseteq H_{\tilde{k}^{(n)}}$, where $H_{\tilde{k}^{(n)}} := W_2^{\lceil \frac{d+1}{2} \rceil}(\mathbb{R}^d, \tilde{k}_2^{(n)}(\mathbf{r})d\mathbf{r})$ and $\tilde{k}^{(n)} := \left(\lceil \frac{d+1}{2} \rceil, \tilde{k}_2^{(n)} \right)$. Using this result in (22), we have that there exists a finite positive constant C such that

$$\left| \left\langle f_1 \otimes \cdots \otimes f_n, m^{(n)} \right\rangle \right| \leq C^n \prod_{l=1}^n \|f_l(\mathbf{r}_l)\|_{H_{\tilde{k}^{(n)}}} \int_{\mathbb{R}^{nd}} \frac{m^{(n)}(d\mathbf{r}_1, \ldots, d\mathbf{r}_n)}{\prod_{l=1}^n k_2^{(n)}(\mathbf{r}_l)}.$$

Hence, by choosing E as in Lemma 4.5, we have that

$$m_n := \sqrt{\sup_{f_1,\ldots,f_{2n}\in E} \left|\langle f_1 \otimes \cdots \otimes f_{2n}, m^{(2n)}\rangle\right|}$$

$$\leq \sqrt{C^{2n}\left(\sup_{f\in E}\|f\|_{H_{\tilde{k}^{(n)}}}\right)^{2n} \int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1,\ldots,d\mathbf{r}_n)}{\prod_{l=1}^{2n} k_2^{(2n)}(\mathbf{r}_l)}}$$

$$(23) \qquad \leq \left(Cc_{\lceil\frac{d+1}{2}\rceil}^d \sup_{\substack{\mathbf{z}\in\mathbb{R}^d \\ \|\mathbf{r}\|\leq n}} \sup_{\mathbf{x}\in[-1,1]^d} \sqrt{\tilde{k}_2^{(2n)}(\mathbf{z}+\mathbf{x})}\right)^n \sqrt{\int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1,\ldots,d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2^{(2n)}(\mathbf{r}_l)}}.$$

Then the condition (21) guarantees that the m_n's are finite and (18) implies that the class $C\{m_n\}$ is quasi-analytic. $\qquad\square$

Proof. (Corollary 5.3).
Since the necessity part follows straightforwardly, let us focus on the sufficiency. Since m is determining by Proposition 5.5 and (20) holds by assumption, we can apply Theorem 4.4 to get that m is realized by $\mu \in \mathcal{M}^*(\mathcal{S})$.

It remains to show (19). For any positive real number R let us define a function χ_R such that

$$(24) \qquad \chi_R \in \mathcal{C}_c^\infty(\mathbb{R}^d) \text{ and } \chi_R(\mathbf{r}) := \begin{cases} 1 & \text{if } |\mathbf{r}| \leq R \\ 0 & \text{if } |\mathbf{r}| \geq R+1. \end{cases}$$

Since m is realized by $\mu \in \mathcal{M}^*(\mathcal{S})$, for any $n \in \mathbb{N}_0$ and for any positive real number R we have that

$$\int_{\mathcal{S}} \langle \frac{\chi_R}{k_2^{(n)}}, \eta\rangle^n \mu(d\eta) = \int_{\mathbb{R}^{nd}} \prod_{l=1}^n \frac{\chi_R(\mathbf{r}_l)}{k_2^{(n)}(\mathbf{r}_l)} m^{(n)}(d\mathbf{r}_1,\ldots,d\mathbf{r}_n).$$

Hence, the monotone convergence theorem for $R \to \infty$ and Remark 5.4 give (19). $\qquad\square$

Remark 5.6.
The proof of Proposition 5.5 is a particular instance of what we were pointing out in Remark 4.6. In fact, the regularity assumed on the sequence m, that is m consisting of Radon measures, allowed us to get the bound (23) from (18) and (21) for some index $\tilde{k}^{(n)} = (\tilde{k}_1^{(n)}, \tilde{k}_2^{(n)})$ with $\tilde{k}_1^{(n)} = \lceil\frac{d+1}{2}\rceil$ and so independent of n.
Note that to obtain this result it was important to use our definition of determining sequence (see Definition 2.2). In fact, if we used the one given in [2] involving the norms $\|m^{(2n)}\|_{H_{-k}^{\otimes 2n}(2n)}$ (see Remark 2.4), we would have got $\tilde{k}_1^{(n)} > \lceil\frac{n(d+1)}{2}\rceil$ and as a consequence an extra factor of at least order $(2n)!$ under the root in (18). This observation is in line with Remark 3 in [2, Vol. II, p.73].

If we assume even more regularity on m, then Corollary 5.3 takes the following simpler form.

Corollary 5.7.
Let $m \in \mathcal{F}\big(\mathcal{R}(\mathbb{R}^d)\big)$ be such that for some $k_2 \in \mathcal{C}^\infty(\mathbb{R}^d)$, independent of n, with $k_2(\mathbf{r}) \geq 1$ for all $\mathbf{r} \in \mathbb{R}^d$ the following holds

$$\sum_{n=1}^\infty \frac{1}{\sqrt[2n]{\int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1,\ldots,d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2(\mathbf{r}_l)}}} = \infty.$$

If $\mathcal{S} \subseteq \mathscr{D}'_{proj}(\mathbb{R}^d)$ is a basic semi-algebraic of the form (8), then m is realized by a unique non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S})$ with

$$\int_{\mathcal{S}} \langle \frac{1}{k_2}, \eta \rangle^n \mu(d\eta) < \infty, \quad \forall n \in \mathbb{N}_0,$$

if and only if the following inequalities hold

$$L_m(h^2) \geq 0, \ L_m(P_i h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^{\infty}}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right), \forall i \in Y,$$

and for any $n \in \mathbb{N}_0$ we have

$$\int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1, \ldots, d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2(\mathbf{r}_l)} < \infty.$$

5.3. Realizability on the space of Radon measures $\mathcal{R}(\mathbb{R}^d)$.

Example 5.8.
The set $\mathcal{R}(\mathbb{R}^d)$ of all Radon measures on $\mathbb{R}^d$ is a basic semi-algebraic subset of $\mathscr{D}'_{proj}(\mathbb{R}^d)$, i.e.

$$(25) \qquad \mathcal{R}(\mathbb{R}^d) = \bigcap_{\varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d)} \left\{ \eta \in \mathscr{D}'_{proj}(\mathbb{R}^d) : \Phi_{\varphi}(\eta) \geq 0 \right\}$$

where $\Phi_{\varphi}(\eta) := \langle \varphi, \eta \rangle$.

Proof.
The representation (25) follows from the fact that there exists a one-to-one correspondence between the Radon measures on $\mathbb{R}^d$ and the continuous non-negative linear functionals on the space $\mathscr{D}_{proj}(\mathbb{R}^d)$. In fact, for any $\eta \in \mathcal{R}(\mathbb{R}^d)$ the functional

$$\begin{aligned} \mathcal{C}_c^{\infty}(\mathbb{R}^d) &\rightarrow \mathbb{R} \\ \varphi &\mapsto \langle \varphi, \eta \rangle = \int_{\mathbb{R}^d} \varphi(\mathbf{r}) \eta(d\mathbf{r}) \end{aligned}$$

is non-negative and it is an element of $\mathscr{D}'_{proj}(\mathbb{R}^d)$. Conversely, by a theorem due to L. Schwartz (c.f. [39, Theorem V]), every non-negative linear functional on $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ can be represented as integral w.r.t. a Radon measure on $\mathbb{R}^d$. $\qquad \square$

Using the representation (25), we obtain a realizability theorem for $\mathcal{S} = \mathcal{R}(\mathbb{R}^d)$, namely Corollary 5.3 becomes

Theorem 5.9.
Let $m \in \mathcal{F}\left(\mathcal{R}(\mathbb{R}^d)\right)$ fulfill the weighted Carleman type condition (18). Then m is realized by a unique non-negative measure $\mu \in \mathcal{M}^*(\mathcal{R}(\mathbb{R}^d))$ with

$$\int_{\mathcal{S}} \langle \frac{1}{k_2^{(n)}}, \eta \rangle^n \mu(d\eta) < \infty, \quad \forall n \in \mathbb{N}_0,$$

if and only if the following inequalities hold

$$(26) \qquad L_m(h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^{\infty}}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right),$$

$$(27) \qquad L_m(\Phi_{\varphi} h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^{\infty}}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right), \forall \varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d),$$

$$(28) \qquad \int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1, \ldots, d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2^{(2n)}(\mathbf{r}_l)} < \infty, \ \forall n \in \mathbb{N}_0.$$

Note that if μ is concentrated on $\mathcal{R}(\mathbb{R}^d)$ then $m_{\mu}^{(n)} \in \mathcal{R}(\mathbb{R}^{dn})$ for all $n \in \mathbb{N}_0$.

The previous theorem still holds even when m does not consist of Radon measures. In this case, instead of (18) and (28), one has to assume that m is determining in the sense of Definition 2.2

The assumption (18) can be actually weakened by taking into account a result due to S.N. Šifrin about the infinite dimensional moment problem on dual cones in nuclear spaces (see [42]). Indeed, applying Šifrin's results to the cone $\mathcal{C}_c^{+,\infty}(\mathbb{R}^d)$, it is possible to obtain a particular instance of our Theorem 4.4 for the case $\mathcal{S} = \mathcal{R}(\mathbb{R}^d)$ (the latter is in fact the dual cone of $\mathcal{C}_c^{+,\infty}(\mathbb{R}^d)$) but with the difference that in the determinacy condition the quasi-analyticity of the m_n's is replaced by the so-called Stieltjes condition $\sum_{n=1}^{\infty} m_n^{-\frac{1}{2n}} = \infty$. As a consequence, the condition (18) in Theorem 5.9 can be replaced by the following weaker one

$$\sum_{n=1}^{\infty} \frac{1}{\sqrt{\displaystyle\sup_{\substack{\mathbf{z} \in \mathbb{R}^d \\ \|\mathbf{z}\| \le n}} \sup_{\mathbf{x} \in [-1,1]^d} \sqrt{\tilde{k}_2^{(n)}(\mathbf{z}+\mathbf{x})}} \sqrt[4n]{\int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1,\dots,d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2^{(2n)}(\mathbf{r}_l)}}} = \infty,$$

which we call *weighted generalized Stieltjes condition*.

Remark 5.10.
The condition (26) *can be rewritten as*

$$\sum_{i,j} \langle h^{(i)} \otimes h^{(j)}, m^{(i+j)} \rangle \ge 0, \quad \forall h^{(i)} \in \mathcal{C}_c^{\infty}(\mathbb{R}^{id}),$$

and (27) *as*

$$\sum_{i,j} \langle h^{(i)} \otimes h^{(j)} \otimes \varphi, m^{(i+j+1)} \rangle \ge 0, \quad \forall h^{(i)} \in \mathcal{C}_c^{\infty}(\mathbb{R}^{id}), \forall \varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d).$$

Recalling Definition 4.7, we can restate these conditions as follows: the sequence $(m^{(n)})_{n \in \mathbb{N}_0}$ *and its shifted version* $((_{\Phi_\varphi} m)^{(n)})_{n \in \mathbb{N}_0}$ *are positive semidefinite in the sense of Definition 2.1.*
In particular, if for each $n \in \mathbb{N}_0$, $m^{(n)}$ *has a Radon-Nikodym density, that is there exists* $\alpha^{(n)} \in L^1(\mathbb{R}^n, \lambda)$ *s.t.* $m^{(n)}(d\mathbf{r}_1, \dots, d\mathbf{r}_n) = \alpha^{(n)}(\mathbf{r}_1, \dots, \mathbf{r}_n)d\mathbf{r}_1 \cdots d\mathbf{r}_n$, *then* (26) *and* (27) *can be rewritten as*

$$\sum_{i,j} \int_{\mathbb{R}^{d(i+j)}} h^{(i)}(\mathbf{r}_1, \dots, \mathbf{r}_i) h^{(j)}(\mathbf{r}_{i+1}, \dots, \mathbf{r}_{i+j}) \alpha^{(i+j)}(\mathbf{r}_1, \dots, \mathbf{r}_{i+j}) d\mathbf{r}_1 \cdots d\mathbf{r}_{i+j} \ge 0,$$

$$\sum_{i,j} \int_{\mathbb{R}^{d(i+j+1)}} h^{(i)}(\mathbf{r}_1, \dots, \mathbf{r}_i) h^{(j)}(\mathbf{r}_{i+1}, \dots, \mathbf{r}_{i+j}) \varphi(\mathbf{y}) \alpha^{(i+j+1)}(\mathbf{r}_1, \dots, \mathbf{r}_{i+j}, \mathbf{y}) d\mathbf{r}_1 \cdots d\mathbf{r}_{i+j} d\mathbf{y} \ge 0.$$

These conditions can be interpreted as that $(\alpha^{(n)})_{n \in \mathbb{N}_0}$ *is positive semidefinite and that for* $\lambda-$*almost all* $\mathbf{y} \in \mathbb{R}^d$ *the sequence* $(\alpha^{(n+1)}(\cdot, \mathbf{y}))_{n \in \mathbb{N}_0}$ *is positive semidefinite, where the positive semidefiniteness is intended in a generalized sense. In this reformulation the analogy with the Stieltjes moment problem is evident, since necessary and sufficient conditions for the realizability on* $\mathbb{R}^+$ *of a sequence of numbers* $(m_n)_{n \in \mathbb{N}_0}$ *are that* $(m_n)_{n \in \mathbb{N}_0}$ *and* $(m_{n+1})_{n \in \mathbb{N}_0}$ *are positive semidefinite.*

The measure constructed in Theorem 5.9 lives on the Borel $\sigma-$algebra generated by the weak topology τ_w^{proj} on $\mathscr{D}_{proj}'$ restricted to its subset $\mathcal{R}(\mathbb{R}^d)$. A natural topology on $\mathcal{R}(\mathbb{R}^d)$ is the vague topology τ_v, i.e. the smallest topology such that the mappings

$$\eta \mapsto \langle f, \eta \rangle = \int_{\mathbb{R}^d} f(\mathbf{r}) \eta(d\mathbf{r})$$

are continuous for all $f \in \mathcal{C}_c(\mathbb{R}^d)$. These two topologies actually coincide on $\mathcal{R}(\mathbb{R}^d)$.

This result directly follows from the Hausdorff criterion if one intersects the neighbourhood bases with sets of the following form

$$U_{\chi_\varphi; N} := \left\{ \eta \in \mathcal{R}(\mathbb{R}^d) : |\langle \chi_\varphi, \eta - \nu \rangle| < N \right\},$$

where N is a positive integer and χ_φ is a smooth characteristic function of the support of a function $\varphi \in \mathcal{C}_c(\mathbb{R}^d)$ (see (24)).

As a consequence of the equivalence of the two topologies, the associated Borel σ−algebras also coincide and they are equal to $\sigma(\tau_w^{proj}) \cap \mathcal{R}(\mathbb{R}^d)$.

5.4. Realizability on the set of measures with bounded density.

Example 5.11.

Let $c \in \mathbb{R}^+$. The set $\mathcal{S}_c$ of all Radon measures with density w.r.t. the Lebesgue measure λ on $\mathbb{R}^d$ which is L^∞−bounded by c, i.e.

$$(29) \qquad \mathcal{S}_c := \left\{ \eta \in \mathcal{R}(\mathbb{R}^d) : \eta(d\mathbf{r}) = f(\mathbf{r})\lambda(d\mathbf{r}) \text{ with } f \geq 0 \text{ and } \|f\|_{L^\infty} \leq c \right\}$$

is a semi-algebraic subset of $\mathscr{D}'_{proj}(\mathbb{R}^d)$. More precisely, we get that

$$(30) \qquad \mathcal{S}_c = \mathcal{R}(\mathbb{R}^d) \cap \bigcap_{\varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d)} \left\{ \eta \in \mathscr{D}'_{proj}(\mathbb{R}^d) : c\langle \varphi, \lambda \rangle - \langle \varphi, \eta \rangle \geq 0 \right\}.$$

Proof.
Step I: $\subseteq$
Let $\eta \in \mathcal{S}_c$, then by definition (29), we get that for any $\varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d)$

$$\langle \varphi, \eta \rangle = \int_{\mathbb{R}^d} \varphi(\mathbf{r})f(\mathbf{r})\lambda(d\mathbf{r}) \leq \|f\|_{L^\infty} \int_{\mathbb{R}^d} \varphi(\mathbf{r})\lambda(d\mathbf{r}) \leq c\langle \varphi, \lambda \rangle.$$

Step II: $\supseteq$
Let $\eta \in \mathcal{R}(\mathbb{R}^d)$ such that

$$(31) \qquad c\langle \varphi, \lambda \rangle - \langle \varphi, \eta \rangle \geq 0, \ \forall \varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d).$$

By density, the previous condition holds for all $\varphi \in L^1(\mathbb{R}^d, \lambda - \eta)$ and in particular for $\varphi = \mathbb{1}_A$, where $A \in \mathcal{B}(\mathbb{R}^d)$ bounded. Hence, $\eta \ll \lambda$ and so, by the Radon-Nikodym theorem, there exists $f \geq 0$ such that

$$(32) \qquad \eta(d\mathbf{r}) = f(\mathbf{r})\lambda(d\mathbf{r}).$$

By (32) and by (31), for any $A \in \mathcal{B}(\mathbb{R}^d)$ bounded we get that

$$\int_A f(\mathbf{r})\lambda(d\mathbf{r}) = \int_A \eta(d\mathbf{r}) \leq c \int_A \lambda(d\mathbf{r}).$$

Hence, $f(\mathbf{r}) \leq c \ \lambda$−a.e. in each bounded A and therefore $\|f\|_{L^\infty} \leq c$.
$\square$

Using the representation (30), we can explicitly rewrite Corollary 5.3 for $\mathcal{S} = \mathcal{S}_c$ as follows.

Theorem 5.12.

Let $c \in \mathbb{R}^+$. Let $m \in \mathcal{F}\left(\mathcal{R}(\mathbb{R}^d)\right)$ fulfill the weighted Carleman type condition (18). Then m is realized by a unique non-negative measure $\mu \in \mathcal{M}^*(\mathcal{S}_c)$ with

$$\int_{\mathcal{S}} \langle \frac{1}{k_2^{(n)}}, \eta \rangle^n \mu(d\eta) < \infty, \quad \forall n \in \mathbb{N}_0,$$

if and only if the following inequalities hold.

$$(33) \qquad L_m(h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right),$$

$$(34) \qquad L_m(\Phi_\varphi h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right), \forall \varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d),$$

$$(35) \qquad L_m(\Gamma_{c,\varphi} h^2) \geq 0, \ \forall h \in \mathscr{P}_{\mathcal{C}_c^\infty}\left(\mathscr{D}'_{proj}(\mathbb{R}^d)\right), \forall \varphi \in \mathcal{C}_c^{+,\infty}(\mathbb{R}^d),$$

$$\int_{\mathbb{R}^{2nd}} \frac{m^{(2n)}(d\mathbf{r}_1, \ldots, d\mathbf{r}_{2n})}{\prod_{l=1}^{2n} k_2^{(2n)}(\mathbf{r}_l)} < \infty, \ \forall n \in \mathbb{N}_0,$$

where $\Phi_\varphi(\eta) := \langle \varphi, \eta \rangle$ and $\Gamma_{c,\varphi}(\eta) := c\langle \varphi, \lambda \rangle - \langle \varphi, \eta \rangle$.

Remark 5.13.
Proceeding as in Remark 5.10, we can work out the analogy between the realizability problem on $\mathcal{S}_c$ and the moment problem on $[0, c]$. Indeed, if each $m^{(n)}$ has density $\alpha^{(n)}$ w.r.t. the Lebesgue measure, then (33), (34) and (35) mean just that $(\alpha^{(n)})_{n \in \mathbb{N}_0}$ is positive semidefinite and that, for $\lambda-$almost all $\mathbf{y} \in \mathbb{R}^d$, $(\alpha^{(n+1)}(\cdot, \mathbf{y}))_{n \in \mathbb{N}_0}$ and $(c\alpha^{(n)}(\cdot) - \alpha^{(n+1)}(\cdot, \mathbf{y}))_{n \in \mathbb{N}_0}$ are positive semidefinite. Similarly, necessary and sufficient conditions for the realizability on $[0, c]$ of a sequence of numbers $(m_n)_{n \in \mathbb{N}_0}$, where

$$[0, c] = \{x \in \mathbb{R} : x \geq 0\} \cap \{x \in \mathbb{R} : c - x \geq 0\},$$

are that $(m_n)_{n \in \mathbb{N}_0}$, $(m_{n+1})_{n \in \mathbb{N}_0}$ and $(c \cdot m_n - m_{n+1})_{n \in \mathbb{N}_0}$ are positive semidefinite (see [12] and [6]).

6. Appendix

6.1. Quasi-analiticity.
Let us recall the basic definitions and state the results used throughout this paper concerning the theory of quasi-analiticity.

Definition 6.1 (The class $C\{M_n\}$).
Given a sequence of positive real numbers $(M_n)_{n \in \mathbb{N}_0}$, we define the class $C\{M_n\}$ as the set of all functions $f \in \mathcal{C}^\infty(\mathbb{R})$ such that for any $n \in \mathbb{N}_0$

$$\|D^n f\|_\infty \leq \beta_f B_f^n M_n,$$

where $D^n f$ is the $n-$th derivative of f, $\|D^n f\|_\infty := \sup\limits_{x \in \mathbb{R}} |D^n f(x)|$, and β_f, B_f are positive constants only depending on f.

Definition 6.2 (Quasi-analitycal class).
A class $C\{M_n\}$ is said to be quasi-analytic if the conditions

$$f \in C\{M_n\}, \ (D^n f)(0) = 0, \quad \forall n \in \mathbb{N}_0,$$

imply that $f(x) = 0$ for all $x \in \mathbb{R}$.

The main result in the theory of quasi-analiticity is the Denjoy-Carleman Theorem, which is easy to prove when the sequence is log-convex and has the first term equal to 1 (see [37] for a proof of the theorem in this case).

Definition 6.3 (Log-convexity).
A sequence of positive real numbers $(M_n)_{n \in \mathbb{N}_0}$ is said to be log-convex if and only if for all $n \geq 1$ we have that $M_n^2 \leq M_{n-1} M_{n+1}$.

However, when we deal with classes of functions, the assumption of log-convexity and the assumption $M_0 = 1$ actually involve no loss of generality. In fact, one can prove that for any sequence $(M_n)_{n \in \mathbb{N}_0}$ there always exists a log-convex sequence $(M_n^c)_{n \in \mathbb{N}_0}$ such that the classes $C\{M_n\}$ and $C\{M_n^c\}$ coincide. More precisely, the sequence $(M_n^c)_{n \in \mathbb{N}_0}$ is the convex regularization of $(M_n)_{n \in \mathbb{N}_0}$ by means of the logarithm (for more details on this regularization see [26]). Hence, we have that $C\{M_n\}$ is quasi-analytic if and only if $C\{M_n^c\}$ is quasi-analytic (see [26, Chapter VI, Theorem 6.5.III]). Clearly, if $(M_n)_{n \in \mathbb{N}_0}$ is log-convex then $M_n^c \equiv M_n$ for all $n \in \mathbb{N}_0$. Furthermore, if $M_0 \neq 1$ then one can always normalize the sequence and consider $(\frac{M_n}{M_0})_{n \in \mathbb{N}_0}$, since it is easy to see that the classes $C\{M_n\}$ and $C\{\frac{M_n}{M_0}\}$ coincide.

Using the convex regularization by means of the logarithm and the observations above, it is possible to show the Denjoy-Carleman Theorem in his general form (see [10] for a detailed proof).

Theorem 6.4 (The Denjoy-Carleman Theorem)**.**
Let $(M_n)_{n \in \mathbb{N}_0}$ be a sequence of positive real numbers. Then the following conditions are equivalent

(1) *$C\{M_n\}$ is quasi-analytic,*
(2) $\sum\limits_{n=1}^{\infty} \frac{1}{\beta_n} = \infty$ *with* $\beta_n := \inf_{k \geq n} \sqrt[n]{M_n}$,
(3) $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[n]{M_n^c}} = \infty$,
(4) $\sum\limits_{n=1}^{\infty} \frac{M_{n-1}^c}{M_n^c} = \infty$,

where $(M_n^c)_{n \in \mathbb{N}_0}$ is the convex regularization of $(M_n)_{n \in \mathbb{N}_0}$ by means of the logarithm.

Let us now state a simple result which has been repeatedly used throughout this paper.

Proposition 6.5.
Let $(M_n)_{n \in \mathbb{N}_0}$ be a sequence of positive real numbers. Then, $C\{M_n\}$ is quasi-analytic if and only if for any positive constant δ the class $C\{\delta M_n\}$ is quasi-analytic.

In conclusion, let us introduce some interesting properties of log-convex sequences.

Remark 6.6.
For a sequence of positive real numbers $(M_n)_{n \in \mathbb{N}_0}$ the following properties are equivalent

(a): $(M_n)_{n=0}^{\infty}$ *is log-convex.*
(b): $\left(\frac{M_n}{M_{n-1}} \right)_{n=1}^{\infty}$ *is monotone increasing.*
(c): $(\ln(M_n))_{n=1}^{\infty}$ *is convex.*

Note that the log-convexity is a necessary condition for a sequence to be a moment sequence.

Proposition 6.7.
If the sequence $(M_n)_{n \in \mathbb{N}_0}$ is log-convex and $M_0 = 1$, then $(\sqrt[n]{M_n})_{n=1}^{\infty}$ is monotone increasing.

Lemma 6.8.
Assume that $(M_n)_{n \in \mathbb{N}_0}$ is a log-convex sequence. The class $C\{M_n\}$ is quasi-analytic if and only if for any $j \in \mathbb{N}$ the class $C\{\sqrt[j]{M_{jn}}\}$ is quasi-analytic.

Proof.
W.l.o.g. we can assume that $M_0 = 1$. (In fact, if $M_0 \neq 1$ then one can always apply the following proof to the sequence $(\frac{M_n}{M_0})_{n \in \mathbb{N}_0}$ by Proposition 6.5.) Let us first note that by Theorem 6.4 it is enough to prove that $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[n]{M_n}} = \infty$ if and only if for all $j \in \mathbb{N}$, $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[jn]{M_{jn}}} = \infty$. Let us fix $j \in \mathbb{N}$, then

$$\sum_{n=1}^{\infty} \frac{1}{\sqrt[n]{M_n}} = \sum_{n=1}^{\infty} \left(\frac{1}{\sqrt[jn]{M_{jn}}} + \frac{1}{\sqrt[jn+1]{M_{jn+1}}} + \ldots + \frac{1}{\sqrt[jn+(j-1)]{M_{jn+j-1}}} \right) + \sum_{n=1}^{j-1} \frac{1}{\sqrt[n]{M_n}}$$

$$\leq \quad j \sum_{n=1}^{\infty} \frac{1}{\sqrt[jn]{M_{jn}}} + \sum_{n=1}^{j-1} \frac{1}{\sqrt[n]{M_n}},$$

where the last inequality is due to Proposition 6.7. Hence, if $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[n]{M_n}}$ diverges then $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[j^n]{M_{jn}}}$ diverges as well. On the other hand, if the series $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[j^n]{M_{jn}}}$ diverges for some $j \in \mathbb{N}$, then also $\sum\limits_{n=1}^{\infty} \frac{1}{\sqrt[n]{M_n}}$ diverges since the latter contains more summands. $\square$

6.2. Complements about the space $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$.

Let us recall the definition of the inductive topology on $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ (see [35, Section V.4, vol. I]) for a more detailed account on this topic).

Definition 6.9.
Let $(\Lambda_n)_{n\in\mathbb{N}}$ be an increasing family of relatively compact open subsets of $\mathbb{R}^d$ such that $\mathbb{R}^d = \bigcup\limits_{n\in\mathbb{N}} \Lambda_n$. Let us consider the space $\mathcal{C}_c^{\infty}(\overline{\Lambda_n})$ of all infinitely differentiable functions on $\mathbb{R}^d$ with compact support contained in $\overline{\Lambda_n}$ and let us endow $\mathcal{C}_c^{\infty}(\overline{\Lambda_n})$ with the Frechét topology generated by the directed family of seminorms given by

$$(36) \qquad \|\varphi\|_{\leq a} := \sum_{|\beta|\leq a} \max_{\mathbf{r}\in\overline{\Lambda_n}} \left|D^{\beta}\varphi(\mathbf{r})\right|.$$

Then as sets

$$\mathcal{C}_c^{\infty}(\mathbb{R}^d) = \bigcup_{n\in\mathbb{N}} \mathcal{C}_c^{\infty}(\overline{\Lambda_n}).$$

We denote by $\mathscr{D}_{ind}(\mathbb{R}^d)$ the space $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ endowed with the inductive limit topology τ_{ind} induced by this construction.

It is easy to see that the previous definition is independent of the choice of the Λ_n's.

In Subsection 3.1, we gave a construction due to Y. M. Berezansky that allows to write $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ as projective limit of a family of weighted Sobolev space (see Definition 3.1). Berezansky actually proved that Definition 3.1 is equivalent to the following standard one (see [1, Chapter I, Section 3.10] for more details).

Definition 6.10.
Let I be as in Definition 3.1, i.e. the set of all $k = (k_1, k_2(\mathbf{r}))$ such that $k_1 \in \mathbb{N}_0$, $k_2 \in \mathcal{C}^{\infty}(\mathbb{R}^d)$ with $k_2(\mathbf{r}) \geq 1$ for all $\mathbf{r} \in \mathbb{R}^d$. For each $k \in I$, let us introduce a norm on $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ by setting

$$\|\varphi\|_{\mathscr{D}_k(\mathbb{R}^d)} := \max_{\mathbf{r}\in\mathbb{R}^d} \left(k_2(\mathbf{r}) \sum_{|\beta|\leq k_1} \left|(D^{\beta}\varphi)(\mathbf{r})\right| \right).$$

Denote by $\mathscr{D}_k(\mathbb{R}^d)$ the completion of $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ w.r.t. the norm $\|\cdot\|_{\mathscr{D}_k(\mathbb{R}^d)}$. Then as sets

$$\mathcal{C}_c^{\infty}(\mathbb{R}^d) = \bigcap_{k\in I} \mathscr{D}_k(\mathbb{R}^d).$$

We denote by $\mathscr{D}_{proj}(\mathbb{R}^d)$ the space $\mathcal{C}_c^{\infty}(\mathbb{R}^d)$ endowed with the projective limit topology τ_{proj} induced by this construction.

Furthermore, as already mentioned, Berezansky showed that

$$\mathscr{D}_{proj}(\mathbb{R}^d) = \underset{(k_1,k_2(\mathbf{r}))\in I}{\text{proj lim}} W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r})d\mathbf{r})$$

is a nuclear space (where I is as in Definition 6.10). The nuclearity of $\mathscr{D}_{proj}(\mathbb{R}^d)$ follows from the fact that the index set I always fulfills the following condition.

Definition 6.11 (Condition (D)).
We say that the set $K_0 \subseteq I$ satisfies Condition (D) *if:*
"For any pair $k = (k_1, k_2(\mathbf{r})) \in K_0$ there exists $k' = (k_1', k_2'(\mathbf{r})) \in K_0$ such that

- $k_1' \geq k_1 + l$ *(where l is the smallest integer greater than $\frac{d}{2}$)*
- $k_2'(\mathbf{r}) \geq \left(\max_{|\beta| \leq l} |(D^\beta q)(\mathbf{r})| \right)^2, \ \forall \mathbf{r} \in \mathbb{R}^d,$ *for some function $q(\mathbf{r}) \in \mathcal{C}^l(\mathbb{R}^d)$ chosen such that*

$$q^2(\mathbf{r}) \geq k_2(\mathbf{r}), \ \forall \mathbf{r} \in \mathbb{R}^d \quad and \quad \int_{\mathbb{R}^d} \frac{k_2(\mathbf{r})}{q^2(\mathbf{r})} d\mathbf{r} < \infty.$$

Note that the function $q(\mathbf{r})$ depends on $k_2(\mathbf{r})$ and d."

Condition (D) is sufficient for $\underset{(k_1, k_2(\mathbf{r})) \in K_0}{\mathrm{proj\,lim}} W_2^{k_1}(\mathbb{R}^d, k_2(\mathbf{r}) d\mathbf{r})$ to be nuclear.

Let us give some concrete examples of classes K_0 which satisfy Condition (D) in the case $d = 1$.

Example 6.12.
Let $K_0 := \{(k_1, k_2(r)) \mid k_1 \in \mathbb{N}_0, k_2(r) = C(1 + r^{2n}), n \in \mathbb{N}, 1 \leq c \in \mathbb{R}\}$.
Let us fix a pair $k = (k_1, k_2(r)) \in K_0$, namely we fix $k = (k_1, C(1 + r^{2n}))$ for some $k_1 \in \mathbb{N}_0$, some $n \in \mathbb{N}$ and some real constant $C \geq 1$. For the same fixed n and C, we define the function $q(r) := (2C(1 + r^{2n+2}))^{\frac{1}{2}} \in \mathcal{C}^\infty(\mathbb{R})$.
Then we have that $q^2(r) = 2C(1 + r^{2n+2}) \geq k_2(r)$ for all $r \in \mathbb{R}$ and

$$\int_{\mathbb{R}} \frac{k_2(r)}{q^2(r)} dr = \int_{\mathbb{R}} \frac{1 + r^{2n}}{2(1 + r^{2n+2})} dr < \infty.$$

Hence, using the special form of $q(r)$, we get that

$$\forall r \in \mathbb{R}, \quad |Dq(r)| \leq (n+1)|q(r)|.$$

Consequently, choosing $k' = (k_1', k_2'(r)) \in K_0$ such that

$$k_1' := k_1 + 1, \qquad k_2'(r) := (n+1)^2 q(r)^2, \quad \forall r \in \mathbb{R},$$

we obtain that for all $r \in \mathbb{R}$, $k_2'(r) \geq (\max\{|q(r)|, |Dq(r)|\})^2$ and hence, Condition (D) is fulfilled by K_0.

Example 6.13.
Let $K_0 := \{(k_1, k_2(r)) \mid k_1 \in \mathbb{N}_0, k_2(r) = 1 + e^{nr}, n \in \mathbb{N}, 1 \leq c \in \mathbb{R}\}$.
Let us fix a pair $k = (k_1, k_2(r)) \in K_0$, namely we fix $k = (k_1, C(1 + e^{nr}))$ for some $k_1 \in \mathbb{N}_0$, some $n \in \mathbb{N}$ and some real constant $C \geq 1$. For the same fixed n and C, we define the function $q(r) := (C(1 + e^{nr})(1 + r^2))^{\frac{1}{2}} \in \mathcal{C}^\infty(\mathbb{R})$.
Then we have that $q^2(r) = C(1 + e^{nr})(1 + r^2) \geq k_2(r)$ for all $r \in \mathbb{R}$ and

$$\int_{\mathbb{R}} \frac{k_2(r)}{q^2(r)} dr = \int_{\mathbb{R}} \frac{1}{1 + r^2} dr < \infty.$$

Hence, using the special form of $q(r)$, we get that

$$\forall r \in \mathbb{R}, \quad |Dq(r)| \leq \left(\frac{n}{2} + 1 \right) |q(r)|.$$

Consequently, if $B := \sup_{r \in \mathbb{R}} \frac{(1 + e^{nr})(1 + r^2)}{1 + e^{(n+1)r}}$ and if we choose $k' = (k_1', k_2'(r)) \in K_0$ such that

$$k_1' := k_1 + 1, \qquad k_2'(r) := BC \left(\frac{n}{2} + 1 \right)^2 (1 + e^{(n+1)r}), \quad \forall r \in \mathbb{R},$$

then we obtain that for all $r \in \mathbb{R}$,

$$k_2'(r) \geq C \left(\frac{n}{2} + 1 \right)^2 (1 + e^{nr})(1 + r^2) = \left(\frac{n}{2} + 1 \right)^2 q^2(r) \geq (\max\{|q(r)|, |Dq(r)|\})^2.$$

6.3. **Construction of a total subset of test functions.**

In this subsection, we provide an outline of the proof of Lemma 4.5 about the explicit construction of a set E of the kind required in Definition 2.2. For convenience, we give here the proofs only in the case when $E \subset \mathscr{D}'_{proj}(\mathbb{R})$. The higher dimensional case follows straightforwardly.

For any $n \in \mathbb{N}_0$, let $k^{(n)} := (k_1^{(n)}, k_2^{(n)}) \in I$, i.e. $k_1^{(n)} \in \mathbb{N}_0$ and $k_2^{(n)} : \mathbb{R} \to [1, \infty[$ such that $k_2^{(n)} \in \mathcal{C}^\infty(\mathbb{R})$. Let us consider the norm $\| \cdot \|_{H_{k^{(n)}}}$ defined in (5), where $H_{k^{(n)}} := W_2^{k_1^{(n)}}(\mathbb{R}, k_2^{(n)}(x))$. We will denote by $\| \cdot \|_{H_{-k^{(n)}}}$ the norm on its dual space $W_2^{-k_1^{(n)}}(\mathbb{R}, k_2^{(n)}(x))$.

Let d_n be a positive sequence which is not quasi-analytic, then there exists a non-negative infinite differentiable function φ with support $[-1, 1]$ such that for all $x \in \mathbb{R}$ and $n \in \mathbb{N}_0$ holds $|\frac{d^n}{dx^n} \varphi(x)| \leq d_n$ (see [37]).

Lemma 6.14.
Let d_n be a log-convex increasing positive sequence which is not quasi-analytic, let φ be as above. Define

$$E_0 := \{ f_{y,p}(\cdot) := \varphi(\cdot - y)e^{ip\cdot} \mid y, p \in \mathbb{Q} \}$$

Then for any $y, p \in \mathbb{Q}$ and for any $n \in \mathbb{N}_0$ we get

$$\| f_{y,p} \|_{H_{k^{(n)}}} \leq C_p^{k_1^{(n)}} d_{k_1^{(n)}} \sup_{x \in [-1,1]} \sqrt{k_2^{(n)}(y+x)}$$

where $C_p := \sqrt{2}(1 + |p|)$ and E_0 is total in $\mathscr{D}_{proj}(\mathbb{R})$.

Proof.
For any $y, p \in \mathbb{Q}$ we have that

$$(\| f_{y,p} \|_{H_{k^{(n)}}})^2 \leq \sum_{k=0}^{k_1^{(n)}} \int_{\mathbb{R}} \left(\sum_{l=0}^{k} \binom{k}{l} |p|^{k-l} \left| \frac{d^l}{dx^l} \varphi(x - y) \right| \right)^2 k_2^{(n)}(x) dx$$

$$\leq (1 + |p|)^{k_1^{(n)}} \sum_{k=0}^{k_1^{(n)}} \sum_{l=0}^{k} \binom{k}{l} |p|^{k-l} \int_{[-1,1]} \left| \frac{d^l}{dx^l} \varphi(x) \right|^2 k_2^{(n)}(x + y) dx$$

Using the bound for derivative of φ and the fact that the sequence $(d_l)_l$ is monotone increasing we get

$$(37) \qquad \| f_{y,p} \|_{H_{k^{(n)}}} \leq \sqrt{2} \, d_{k_1^{(n)}} (\sqrt{2}(1 + |p|))^{k_1^{(n)}} \sup_{x \in [-1,1]} \sqrt{k_2^{(n)}(x + y)}.$$

Let us show that E_0 is total in $\mathscr{D}_{proj}(\mathbb{R})$.
If E_0 was not total then by Hahn-Banach there would exist $\eta \in \mathscr{D}'_{proj}(\mathbb{R})$ with $\eta \neq 0$ such that for all $f \in span(E_0)$, $\eta(f) = 0$. For such a η we get in particular that $\forall \, y, p \in \mathbb{Q}, \langle f_{y,p}, \eta \rangle = 0$. Since the function $(y, p) \mapsto f_{y,p}$ from $\mathbb{Q} \times \mathbb{Q}$ to $\mathscr{D}_{proj}(\mathbb{R})$ is sequentially continuous, then

$$(38) \qquad \forall y, p \in \mathbb{R}, \quad \langle f_{y,p}, \eta \rangle = 0.$$

Let $\rho_\epsilon(\cdot) := \varepsilon^{-1} \rho(\varepsilon^{-1} \cdot)$ where ρ is a non-negative function with compact support, i.e. ρ_ε is an approximating identity then

$$(39) \qquad \lim_{\varepsilon \downarrow 0} \int_{[-1,1]} f_{y,p}(x) \rho_\varepsilon * \eta(x) dx = \langle f_{y,p}, \eta \rangle = 0,$$

where the last equality is due to (38). Since η is in some space $H_{-k^{(n)}}$ and as (37), holds, we get that

$$(40) \quad |\langle f_{y,p}, \rho_\varepsilon * \eta \rangle| \leq \|f_{y,p}\|_{H_{k^{(n)}}} \|\rho_\varepsilon * \eta\|_{H_{-k^{(n)}}} \leq c(1+|p|)^{k_1^{(n)}} \|\rho_\varepsilon * \eta\|_{H_{-k^{(n)}}},$$

where $c := d_{k_1^{(n)}} (\sqrt{2})^{k_1^{(n)}+1} \sup_{x \in [-1,1]} \sqrt{k_2^{(n)}(x+y)}$ and so it depends only on $k_1^{(n)}, k_2^{(n)}, y$.

Since ρ_ε is an approximating identity we get that

$$\lim_{\varepsilon \downarrow 0} \|\rho_\varepsilon * \eta\|_{H_{-k^{(n)}}} = \|\eta\|_{H_{-k^{(n)}}}$$

The latter together with (40) imply that the function $\langle f_{y,p}, \rho_\varepsilon * \eta \rangle$ is uniformly bounded in p and ε. By Lebesgue's dominated convergence theorem and by (39), for any integrable function ψ such that the Fourier transform $\hat{\psi} \in \mathscr{D}_{proj}(\mathbb{R})$ and for any $y \in \mathbb{R}$ the following holds

$$0 = \lim_{\varepsilon \to 0} \int_\mathbb{R} \psi(p) \int_{[-1,1]} f_{y,p}(x) \rho_\varepsilon * \eta(x)\,dx\,dp \langle \varphi(\cdot - y)\hat{\psi}, \eta \rangle = \langle \hat{\psi}, \varphi(\cdot - y)\eta \rangle.$$

As any test-function in $\mathscr{D}_{proj}(\mathbb{R})$ is of the form $\hat{\psi}$, we have that also as a distribution for any $y \in \mathbb{R}$, $\varphi(\cdot - y)\eta \equiv 0$.

Since φ is not zero there exists an open ball B on which φ is never zero. Define a partition of unity $(\chi_n)_{n \in \mathbb{N}_0}$, where each χ_n is supported in a ball of the form $y_n + B$. Hence, for all $\psi \in \mathcal{C}_c^\infty(\mathbb{R})$

$$\langle \psi, \eta \rangle = \sum_{n=0}^\infty \langle \chi_n(\cdot) \frac{\psi(\cdot)}{\varphi(\cdot - y_n)}, \varphi(\cdot - y_n)\eta \rangle = 0,$$

which means that $\eta \equiv 0$. $\qquad\square$

Making use of the previous result, we are going to prove Lemma 4.5 that we rewrite here for convenience.

Lemma 6.15.
Let c_n be an increasing sequence of positive numbers which is not quasi-analytic. Then the set

$$E := \left\{ f \in \mathscr{D}_{proj}(\mathbb{R}) \,\middle|\, \forall\, n \in \mathbb{N}_0,\ \|f\|_{H_{k^{(n)}}} \leq c_{k_1^{(n)}} \sup_{\substack{z \in \mathbb{R} \\ |z| \leq n}} \sup_{x \in [-1,1]} \sqrt{k_2^{(n)}(z+x)} \right\}$$

contains a countable subset which is total in $\mathscr{D}_{proj}(\mathbb{R})$. Hence, E is total in $\mathscr{D}_{proj}(\mathbb{R})$.

Proof.
Let us first show that the proof reduces to find an increasing sequence $(d_n)_{n \in \mathbb{N}_0}$ of positive numbers which is not quasi-analytic and which is such that for any real constant $C > 0$

$$(41) \qquad\qquad \lim_{j \to \infty} \frac{C^j d_j}{c_j} = 0.$$

In this case, we can always define $\frac{1}{q} := \sup_n \dfrac{C^{k_1^{(n)}} d_{k_1^{(n)}}}{c_{k_1^{(n)}}}$. and so, by Lemma 6.14, for any $y, p \in \mathbb{Q}$, every function of the form $q f_{y,p}$ is such that

$$\|q f_{y,p}\|_{H_{k^{(n)}}} \leq q C_p^{k_1^{(n)}} d_{k_1^{(n)}} \sup_{x \in [-1,1]} \sqrt{k_2^{(n)}(y+x)} \leq c_{k_1^{(n)}} \sup_{\substack{z \in \mathbb{R} \\ |z| \leq n}} \sup_{x \in [-1,1]} \sqrt{k_2^{(n)}(y+x)}.$$

Hence, the set E contains qE_0. Consequently, since E_0 is total in $\mathcal{D}(\mathbb{R}^d)$, the same is true for qE_0 and hence, for E.

It remains to construct an increasing sequence $(d_n)_n$ of positive numbers not quasi-analytic and such that (41) holds. First note that our requirement is equivalent to define an increasing sequence $(d_n)_n$ of positive numbers such that $\sum_{n=1}^{\infty} \frac{1}{\sqrt[n]{d_n}} < \infty$ and $\lim_{n\to\infty} \frac{\sqrt[n]{d_n}}{\sqrt[n]{c_n}} = 0$. Indeed, for each C and for each $\varepsilon > 0$ there exist N such that for all $n \geq N$ holds $d_n \leq \left(\frac{\varepsilon}{C}\right)^n c_n$ and hence also $C^n d_n \leq \varepsilon^n c_n$.

Our problem reduces to find, given a decreasing sequences $(a_n)_n$ of positive numbers with $\sum_{n=1}^{\infty} a_n < \infty$, a decreasing sequence $(b_n)_n$ of positive numbers such that $\sum_{n=1}^{\infty} b_n < \infty$ and $\lim_{n\to\infty} \frac{b_n}{a_n} = \infty$.

For any $k \in \mathbb{N}$ let us define $N_k := \min\{m| \sum_{n=m}^{\infty} a_n \leq \frac{1}{k^2}\}$ and also

$$b_n := \min\left\{ a_n \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n} \sqrt{k}\right), b_{n-1} \right\},$$

with $b_0 := a_0 \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k = 0} \sqrt{k}\right)$. Then

$$\sum_{n=1}^{\infty} b_n \quad \leq \quad \sum_{n=1}^{\infty} a_n \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n} \sqrt{k}\right) \leq \sum_{n=1}^{\infty} a_n + \sum_{k=1}^{\infty} k^{-3/2} < \infty,$$

It follows that $\lim_{n\to\infty} b_n = 0$. Then latter together with the definition $(b_n)_n$ implies that there exists an infinite subsequence $(b_{n_j})_j \subset (b_n)_n$ such that

$$\forall j \in \mathbb{N} \,:\, b_{n_j} = a_{n_j} \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n_j} \sqrt{k}\right).$$

For such a subsequence we have that

$$(42) \qquad \lim_{j\to\infty} \frac{b_{n_j}}{a_{n_j}} = \lim_{j\to\infty} \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n_j} \sqrt{k}\right) = \left(1 + \sum_{k=1}^{\infty} \sqrt{k}\right) = \infty.$$

Now let us note that for any $n \in \mathbb{N}$ we have either that $\frac{b_n}{a_n} = \frac{b_{n-1}}{a_n} \geq \frac{b_{n-1}}{a_{n-1}}$ or that

$$\frac{b_n}{a_n} = \frac{a_n \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n} \sqrt{k}\right)}{a_n} = \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n} \sqrt{k}\right) \geq \left(1 + \sum_{k \in \mathbb{N} \,:\, N_k \leq n-1} \sqrt{k}\right) \geq \frac{b_{n-1}}{a_{n-1}}.$$

Hence, the sequence $(b_n/a_n)_n$ is increasing and has a subsequence such that (42) holds, then we get that $\lim_{n\to\infty} \frac{b_n}{a_n} = \infty$.

$\square$

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